



# County of San Diego

**JOHN M. PELLEGRINO**  
DIRECTOR

DEPARTMENT OF PURCHASING AND CONTRACTING  
5560 OVERLAND AVENUE, SUITE 270, SAN DIEGO, CALIFORNIA 92123-1204  
Phone (858) 505-6367 Fax (858) 715-6452

**ALLEN R. HUNSBERGER**  
ASSISTANT DIRECTOR

November 4, 2016

**REQUEST FOR BID (RFB) 7591  
DEPARTMENT OF PUBLIC WORKS  
WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE  
TRAFFIC SIGNAL INSTALLATION AND INTERCONNECT  
PROJECT NO. 1018802**

TO ALL BIDDERS:

*via email and facsimile*

RFB 7591 is hereby cancelled and all bids are hereby rejected in accordance with information stipulated in the bid documents, including referenced information listed below:

- **Pg. 1-2f**  
*The Board reserves the right to reject any or all bids and to waive any informality or irregularity in the bids or bidding.*
- **Pg. 1-5**  
*The Owner reserves the right to reject any or all Bids and the right to waive technicalities if such waiver is in its best interest and conforms to local laws and ordinances pertaining to the letting of construction contracts. Bids in which the prices are obviously unbalanced may be rejected.*

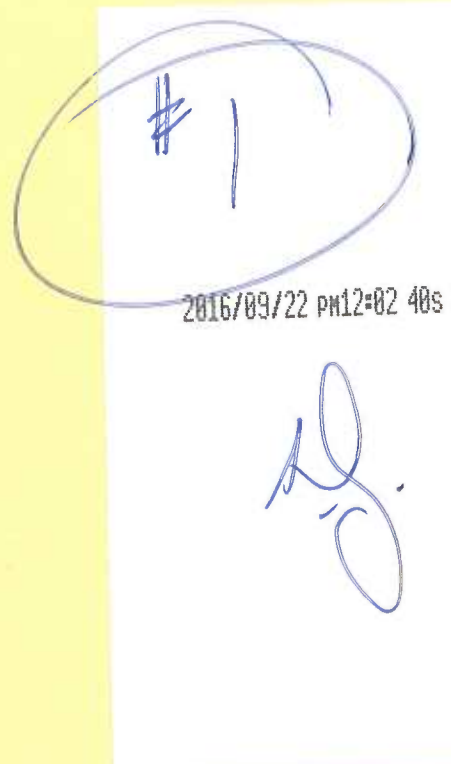
For questions regarding this notification, please contact Procurement Contracting Officer, William Eames at: [William.Eames@sdcounty.ca.gov](mailto:William.Eames@sdcounty.ca.gov)

Sincerely,

William Eames  
Procurement Contracting Officer  
Department of Purchasing and Contracting

Bids  
Received

DBX, Inc.  
42024 Avenida Alvarado  
Suite A  
Temecula, CA 92590  
License No. 240547 C-10 & A



COUNTY OF SAN DIEGO  
Dept. of Purchasing & Contracting  
5560 Overland Avenue  
Suite 270  
San Diego, CA 92123-1294

**"SEALED BID" for  
RFB 7591  
Winter Gardens Blvd. at Winter Gardens Dr.  
Traffic Signal and Interconnect  
Federal Aid Project No. HSIPL-5957 (119)  
Oracle Project No. 1018802**

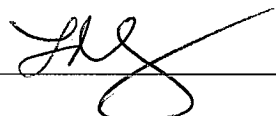
**Bid Date: Sept. 22, 2016 @ 3:00 pm**

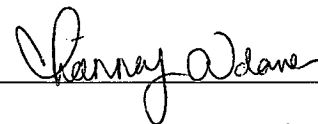
**\*\*Do Not Open With Regular Mail\*\***

SOLICITATION NUMBER: RFB 7591	OPENING DATE: September 22, 2016
SUPPLIES OR SERVICES: WINTER GARDENS BLVD. AT WINTER GARDENS DRIVE TRAFFIC SIGNAL INSTALLATION SIGNAL INTERCONNECT	

OFFEROR / BIDDER	
DBX INC	\$ 896,103.00
HMS CONSTRUCTION, INC	\$ 974,500.00
SC VALLEY ENGINEERING, INC	\$ 825,360.00

Date: 9/22/2016

Bid Officer: 

Bid Clerk: 

This abstract ONLY indicates the APPARENT low bidder. Conditions that may displace an apparent low bidder include, but are not limited to: math errors, conditioning of bid, mistake in bid, failing pre-award Survey, and the bid being non responsive.



# County of San Diego

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5560 OVERLAND AVENUE, SUITE 270, SAN DIEGO, CALIFORNIA 92123-1204  
Phone (858) 505-6367 Fax (858) 715-6452

Allen R. Hunsberger  
Assistant Director

September 19, 2016

## ADDENDUM No. 01

### RFB 7591 WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE TRAFFIC SIGNAL INSTALLATION AND INERCONNECT

Addendum No. 01 forms a part of the contract documents and changes the original documents only in the manner and to the extent stated.

#### CHANGES:

1. Delete page 2-3a in Part 2 – Documents to be Executed by Bidder, and Replace with attached page 2-3a (Addendum 1).
2. Delete page 3-3a in Part 3 – Documents to be Executed by the Successful Bidder (After Award of Contract), and Replace with attached page 3-3a (Addendum 1).
3. Delete page 4-9 in Part 4 – Special Conditions, and Replace with attached page 4-9 (Addendum 1).
4. Delete in its entirety Section 10-1.23, "Communications System Installation" in Part 5 – Technical Provisions and Replace with attached Section 10-1.23, "Communications System Equipment and Installation" (Addendum 1).
5. Delete in its entirety Section 10-1.24, "Communications Equipment" in Part 5 – Technical Provisions and Replace with the following:

***"10-1.24 (NOT USED)"***

6. Delete pages 6-18 to 6-44 in Part 6 – Other Agency Requirements, and Replace with attached pages 6-18 (Addendum 1) to 6-44 (Addendum 1).
7. Delete note "2 - 2" Conduit - 1 Empty and 1 with 36 SMFO" in Detail – Above Ground Controller Connection on sheet 5 of 14 of the Project Plans and Replace with the following:

***"1 - 2" CONDUIT WITH 36 SMFO (1 EMPTY CONDUIT SHOWN IS NOT A PART OF THIS CONTRACT)"***

## QUESTIONS AND ANSWERS:

**Q1: I previously had my State of California Journeyman Electricians Certification. It has since expired. I then started my own business with my C-10 Contractors License. Now I have combined my C-10 license with my partners A Contractors license for an A with a C-10 combo. Am I able to bid/work on this project without a current Electrical Certification?**

**A1:** *Refer to page 1-2 of the Contract Documents, which states: "The Contractor shall possess, at the time the Contract is awarded through Contract acceptance (Contract Code § 10164), a California contractor's license, Classification A, General Engineering Contractor, or C-10 Electrical Contractor."*

*Therefore to bid on the project, the contractor shall possess at the time the Contract is awarded through Contract acceptance, a Class A or C-10 license.*

*Refer to Labor Code 108.2, which states: "(g) Notwithstanding subdivision (a), the qualifying person for a class C-10 electrical contractor license issued by the Contractors' State License Board need not also be certified pursuant to § 108 to perform electrical work for that licensed contractor or to supervise an uncertified person employed by that licensed contractor pursuant to § 108.4."*

*Therefore, if you were the qualifying person for the class C-10 license, then you do not need to be certified. However, if you have employees that work under you then they need to get certified. Also, if you are a licensed C-10 contractor but working under another C-10 contractor's license as an employee you must be certified.*

**Q2: On the bid schedule, the bid item numbers jump from 26 to 28, totally missing 27. Please let us know if this will be a problem bidding?**

**A2:** *To be addressed via Addendum.*

**Q3: For Bid item #28 (Pull Box) with a quantity of 30 ea. – This quantity doesn't match up with ANY PULL BOXES on the plans. There are number 5e boxes, 6e boxes, and vaults. All of which don't add up to the qty of 30. What size and type of boxes is the county requesting for the qty of 30 ea?**

**A3:** *Refer to page 5-90 of the Contract Documents, which states: "Full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in complying with these Special Provisions for all Pull Boxes shall be considered to be included in the contract unit price paid for "Pull Box", and no additional compensation will be allowed therefor."*

*Therefore, all Pull Boxes shall be considered to be included in the contract unit price paid for "Pull Box".*

**Q4. Under what Bid item do the #6E pull boxes get paid under?**

**A4.** *See A3.*

**Q5. Under what bid item do the #5e pull boxes get paid under?**

**A5.** *See A3.*

**Q6. Under what bid item do the Vaults get paid under?**

**A6.** *Refer to page 5-90 of the Contract Documents, which states: "Full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in furnishing and installing fiber splice vaults, complete in place, including any concrete removal and replacement, excavating, or backfilling necessary to complete the work, as shown in the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer shall be considered*

*as included in the contract lump sum price paid for "Communications System Installation", and no additional compensation will be allowed therefor."*

*Therefore, all work involving in furnishing and installing fiber splice vaults, complete in place shall be considered included in the lump sum price for "Communications System Installation".*

**Q7. Does that county have bore logs for the entire length of the fiber optic conduit trench line that they can provide to show existing street sections/ground conditions? If so, please provide.**

**A7.** *The County does not have boring log information available.*

**Q8. In the bid specifications, you list the different boxes, vaults, fiber optic pieces of equipment to included installation, labor, equipment and materials to be paid for under the " Communication Equipment" line item, yet you have a bid item for "communication Equipment Installation". Which one does the county want the labor, equipment, and installation to be included in? the specs contradict themselves vs. your line items.**

**A8.** *To be addressed via Addendum.*

**Q9. Per sheet 5 of 14 of the plans. The drawing for "Above Ground controller Connection"; the drawing shows 2-2" pvc conduits to be installed. One empty and one with the 36 SMFO. Plan sheets 7 of 14 through 11 of 14, Construction note #1 only states to install 1-36 SMFO cable in 1-2" conduit. Which is it? does the county want two (2)-2" conduits installed or only 1-2" conduit installed on this job?**

**A9** *To be addressed via Addendum.*

#### **ATTACHMENTS:**

1. Part 2 - Page 2-3a (Addendum 1)
2. Part 3 - Page 3-3a (Addendum 1)
3. Part 4 - Page 4-9 (Addendum 1)
4. Part 5 - Section 10-1.23 (Addendum 1)
5. Part 6 - Pages 6-18 to 6-44 (Addendum 1)

PART II

DOCUMENTS TO BE EXECUTED BY BIDDER

**NOTE TO BIDDERS**

Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, bidder's address, the name of the project for which the bid is submitted and the appropriate State Contractor's License designation held by the bidder. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed to:

County of San Diego, Department of Purchasing and Contracting  
RFB 7591 – Winter Gardens Boulevard at Winter Gardens Drive  
Traffic Signal and Interconnect  
5560 Overland Avenue, Suite 270  
San Diego, CA, 92123-1294

**It is the responsibility of the bidder to ensure the bid is submitted in proper time.**

The following exhibits must be completed and included with the bid submittal at time of bid:

- PART 2, Exhibit 12-B  
*Bidder's List of Subcontractors (DBE and Non-DBE)*
- PART 2, Exhibit 12-H  
Certifications by BIDDERS and PROPOSED SUBCONTRACTORS

The following exhibits must be submitted by ALL BIDDERS no later than 4:00 p.m. on the 4<sup>th</sup> business day after bid opening by email to Jaclyn.Smith@sdcounty.ca.gov:

- PART 2, Exhibit 15-G  
*Local Agency Bidder DBE Commitment (Construction Contracts)*
- PART 2, Exhibit 15-H  
*DBE Information – Good Faith Efforts*



STATE OF CALIFORNIA  
COUNTY OF SAN DIEGO  
DEPARTMENT OF PUBLIC WORKS

PROPOSAL TO THE BOARD  
FOR  
WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE  
TRAFFIC SIGNAL INSTALLATION AND INTERCONNECT  
FEDERAL AID PROJECT NO. HSIPL-5957 (119)  
ORACLE PROJECT NO. 1018802

RFB NO. 7591

Name of Bidder: DBX, Inc.

Business Address: 42024 Avenida Alvarado #A, Temecula, CA 92590

Place of Residence: 3258 Sumac Ct. Fallbrook, CA 92028

LOCATION

The work to be performed under this Contract is located in the vicinity of Lakeside, County of San Diego, State of California.

Said work is to be performed in accordance with the Contract and the Special Provisions annexed hereto and including Addenda Nos. 1,     , and     , and also in accordance with the Standard Specifications and Standard Plans of the State of California, Department of Transportation, dated May 2006, as amended in Section 5-2 and modified in Section 5-3 of the Special Provisions; the San Diego Area Regional Standard Drawings, dated December 2015; and the State of California publication "Labor Surcharge and Equipment Rental Rates" in effect on the date the work is accomplished.

The work to be done is shown on plans entitled:

PLANS FOR CONSTRUCTION OF  
WINTER GARDENS BLVD & WINTER GARDENS DR  
TRAFFIC SIGNAL & INTERCONNECT  
In the Vicinity of: Lakeside, CA  
Net Length: 2.5 miles

approved July 25, 2016.

By signing this proposal on the signature portion hereof, the undersigned, as bidder, under penalty of perjury deposes and says: that the only persons or parties interested in this Proposal as Principals are those named herein; that the bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said proposal; that the undersigned has carefully examined the location of the proposed work, the annexed proposed form of Contract, and the Plans, Special Provisions and Specifications therein referred to; and the undersigned proposes, and agrees if this Proposal is accepted, that the undersigned will furnish the required Bonds and Contract with the Owner, in the form and copy of the Contract annexed hereto, to provide all necessary machinery, tools, apparatus and other means of construction, and to do all the work and furnish all the materials specified in the Contract, in the manner and time therein set forth, and that the undersigned will take in full payment therefor the following unit and lump sum prices, to wit:

**The County may deem non-responsive any bids that contain any mathematical errors.**

### BID SCHEDULE

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
1	Project Identification Sign	2	EA	2000.00	4000.00
2	Construction Site Management	LS	LS	LS	2000.00
3	Prepare and Implement Water Pollution Control Program	LS	LS	LS	650.00
4	BMP Shared Maintenance	1,000	DLR	1.00	1,000.00
5	Clearing and Grubbing	LS	LS	LS	18,800.00
6	Traffic Control	LS	LS	LS	15,000.00
7	Minor Concrete (Curb Ramp)	8	CY	785.00	6280.00
8	Minor Concrete (Curb & Gutter)	285	LF	31.00	8835.00
9	Minor Concrete (Sidewalk)	8	CY	690.00	5520.00
10	Minor Concrete (Driveway)	8	CY	840.00	6720.00
11	Remove Traffic Striping and Marking	160	SF	6.00	960.00
12	Paint Traffic Stripe (2-Coat)	480	LF	3.50	1680.00
13	Preformed Thermoplastic Pavement Marking	3,000	SF	12.75	38,250.00
14	Pavement Marker, Retroreflective	10	EA	15.00	150.00
15	Roadside Sign (One Post)	6	EA	350.00	2100.00

Name of Bidder DBX, Inc

BID SCHEDULE (Continued)

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
16	Temporary Soil Binder	155	SY	28.00	4340.00
17	Plane Asphalt Concrete Pavement	81	SY	52.00	4212.00
18	Asphalt Concrete, Type B	30	TON	248.00	7440.00
19	Aggregate Base, Class 2	130	CY	75.00	9750.00
20	Place Asphalt Concrete (Miscellaneous Area)	34	SY	155.00	5270.00
21	Temporary Gravel Bag	400	EA	5.00	2000.00
22	Temporary Fiber Roll	500	LF	1.50	750.00
23	Temporary Concrete Washout (Portable)	LS	LS	LS	1500.00
24	Temporary Cover	30	SY	100.00	3000.00
25	Traffic Signal & Safety Lighting System	LS	LS	LS	250,046.00
26	Traffic Signal Controllers	4	EA	4300.00	17200.00
27	Pull Box	30	EA	1200.00	36000.00
28	Fiber Optic Cable Installation	3,000	LF	3.50	10500.00
29	Fiber Optic Conduit and Cable Installation	12,000	LF	24.00	288,000.00
30	Communications System Equipment and Installation	LS	LS	LS	129,150.00
31	Field Orders	15,000	DLR	1.00	15,000.00
TOTAL BID					896,103.00

The County may deem non-responsive any bids that contain any mathematical errors.

Name of Bidder DBX, Inc.

## BID COMPARISON AND AWARD

If this Proposal shall be accepted and the undersigned shall fail to contract as aforesaid and to give in sums as stated in the Notice to Contractors Inviting Bids, both a faithful Performance Bond, and a Payment Bond as required by Chapter 5 (commencing at Section 3225) and Chapter 7 (commencing at Section 3247), Title 15, Part 4, Division 3, of the California Civil Code, with surety satisfactory to the Owner within the time specified in Section 3.1.03 of the Standard Specifications after the undersigned has received notice of the award of the Contract from the Owner and that the Contract is ready for signature, the Owner may, at its option, determine that the bidder has abandoned the Contract, and thereupon this Proposal and the acceptance thereof shall be null and void, and the forfeiture of the security accompanying this Proposal shall operate and the same shall be the property of the Owner.

Bids are required for the entire work. The amount of the bid for comparison purposes will be the Total Bid.

The bidder shall set forth for each item of work, in clearly legible figures, a unit price and a total for the item in the respective spaces provided for this purpose. In the case of unit basis items, the amount set forth under the "Total" column shall be the extension of the unit price bid on the basis of the estimated quantity for the item. **The County may deem non-responsive any bids that contain any mathematical errors.**

The quantities listed in the Proposal are approximate only. Payment for work done will be made at the contract unit prices bid as applied to the quantities actually constructed or installed.

Award of the Contract, if awarded, will be in conformance with Section 3, "Award and Execution of Contract," of the Special Provisions.

Award of the Contract, if awarded, will be made to the lowest responsive and responsible bidder for the Total Bid, subject to the Owner's right to reject any or all bids and to waive as permitted by law, any informality or irregularity in the bids or bidding. Bids in which the prices are obviously unbalanced may be rejected.

Bidders are cautioned as follows: By signing this bid, the bidder will be deemed to have signed and agreed to the provisions of the Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246 and rules, regulations, and relevant orders and amendments of the foregoing).

### **PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT**

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has \_\_\_\_\_, has not ☒ been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided. The above statement is part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

### **PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE**

In conformance with Public Contract Code Section 10162, the bidder shall complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES \_\_\_\_\_ NO ☒

If the answer is yes, explain the circumstances in the following space:

### **PUBLIC CONTRACT CODE SECTION 10232 STATEMENT**

In conformance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are a part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

### **PROHIBITED CONTRACTS CERTIFICATION**

Section 67 of the San Diego County Administrative Code provides that the County shall not contract with, and shall reject any bid or proposal submitted by, the person or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

- (a) Persons employed by the County or of public agencies for which the Board of Supervisors is the governing body;
- (b) Profit-making firms or businesses in which employees described in subsection (a) serve as officers, principals, partners, or major shareholders;
- (c) Persons who, within the immediately preceding twelve (12) months came within the provisions of subsection (a) and who (1) were employed in positions of substantial responsibility in the area of service to be performed by the contract, or (2) participated in any way in developing the contract or its service specifications; and
- (d) Profit-making firms or businesses in which the former employees described in subsection (c) serve as officers, principals, partners, or major shareholders.

With the affixing of a signature to this solicitation, offeror certifies that the above provisions of Section 67 of the San Diego County Administrative Code have been complied with, and that any exception will cause any ensuing contract to be invalid.

\*\*\*\*\*  
**NOTE: THE ABOVE CERTIFICATIONS AND STATEMENTS ARE PART OF THE PROPOSAL. PROVIDING FALSE INFORMATION MAY RESULT IN CRIMINAL PROSECUTION OR ADMINISTRATIVE SANCTIONS.**

**THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS, AFFIDAVITS AND STATEMENTS WHICH ARE A PART OF THIS PROPOSAL.**

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### **DRUG AND ALCOHOL POLICY**

It is the policy of the Board of Supervisors that in order to attain or maintain a contract with the County, each contractor shall agree that while any contractor or the contractor's employees are performing services for the County, or using County equipment, that the contractor or the contractor's employees:

- 1. Shall not in any way be impaired because of being under the influence of alcohol or a drug.
- 2. Shall not possess, consume, or be under the influence of alcohol and/or an illegal drug.
- 3. Shall not sell, offer, or provide alcohol or a drug to another person.

County of San Diego  
Department of Purchasing and Contracting  
**REPRESENTATIONS AND CERTIFICATIONS**

The following representations and certifications are to be completed, signed and returned with the offer (the term "offer" includes bids, proposals, quotes or any other submission to provide goods and/or services).

**1. BUSINESS TYPE**

☒ For-profit ☐ Non-profit ☐ Government

Attach proof of status for Non-profit.

**2. INTERLOCKING DIRECTORATE**

In accordance with Board of Supervisors Policy A-79, if Offeror is a non-profit as indicated in paragraph 1 above, Offeror is required to identify any related for-profit subcontractors in which an interlocking directorate, management or ownership relationship exists. If Offeror is a non-profit and will be subcontracting with a related for-profit entity, Offeror must list all such entity(ies) on an attached separate sheet, and authorization must be sought from Board of Supervisors. If Offeror is a non-profit and does not submit such a list, Offeror certifies it has no and will not enter into a subcontract relationship with a related for-profit entity.

**3. BUSINESS REPRESENTATION**

Offeror represents as a part of this offer the following information regarding the ownership, operation, and control of its business:

3.1. Are you a local business with a physical address within the County of San Diego? ☐ Yes ☒ No

3.2. Are you certified by the State of California as a:  
☐ Disabled Veteran Business Enterprise (DVBE)  
Certification #: \_\_\_\_\_

☒ Small Business Enterprise (SBE)

Certification #: 116750

3.3. Are you certified by the U.S. Dept Of Veterans' Affairs as:  
☐ Veteran Owned Small Business (VOSB)  
Certification # \_\_\_\_\_

☐ Service Disabled Veteran Owned Small Business (SDVOSB)  
Certification # \_\_\_\_\_

3.4. Estimated percentage of work in this offer to be performed or fulfilled locally (within the geographic boundaries of the County of San Diego): 100 %

**4. DEBARMENT, SUSPENSION AND RELATED MATTERS**

4.1. Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

4.1.1. Are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.

4.1.2. Have within a three (3) year period preceding this agreement been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

4.2. Except as allowed for in Section 4.2.4, Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

4.2.1 Are presently indicted for or otherwise criminally or civilly charged by a government entity (federal, state, or local) with the commission of any of the offenses enumerated in paragraph 4.1.2 of this certification;

4.2.2 Have within a three (3) year period preceding this agreement had one or more public transactions (federal, state or local) terminated for cause or default;

4.2.3 Are presently the target or subject of any investigation, accusation or charges by any federal, state or local law enforcement, licensing or certification body.

4.2.4 If Offeror is unable to certify any of the facts set forth in Sections 4.2.1, 4.2.2 or 4.2.3, it certifies that it has listed on a separate sheet(s) attached to this Representations and Certifications each fact that it cannot certify and the reason it cannot do so. That information must include the specific relevant facts (date(s), contract(s) and individual(s) involved, status of action(s), and any other relevant information) that prevent it from making the requested certifications. The County reserves the right to disqualify an Offeror based upon information disclosed.

4.3 Offeror has a continuing duty to disclose information until contract award/execution and shall report in writing to the County Department of Purchasing and Contracting within five business days of knowing or have any reason to know any change in status as certified in the preceding paragraphs 4.1 and 4.2.

4.4 If Offeror or any of its subcontractors, agents or consultants, have previously contracted with the County to perform related work on this project (e.g. preparing components of the statement of work or plans and specifications for this project), Offeror shall identify those previous agreement(s) and submit that list along with the proposal. Other than as may be submitted on said list, Offeror certifies to the best of its knowledge that it and its proposed subcontractors, agents and consultants have not previously contracted with the County to perform work on or related to this project.

**5. CURRENT COST OR PRICING**

Offeror certifies to the best of its knowledge that cost and/or pricing data submitted with this offer, or specifically identified by reference if actual submission of the data is impracticable, are accurate, complete, and current as of the date signed below.

**6. INDEPENDENT PRICING**

Offeror certifies that in relation to this procurement:

6.1. The prices in this offer have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with other offerors, with any competitors, or with any County employee(s) or consultant(s) involved in this or related procurements;

6.2. Unless otherwise required by law, the prices that have been quoted in this offer have not been knowingly disclosed by the Offeror and will not knowingly be disclosed by the Offeror prior to opening, in the case of a bid, or prior to award, in the case of a proposal, directly or indirectly to any other Offeror or to any competitor; and

6.3. No attempt has been made or will be made by the Offeror to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.

**7. TAX INFORMATION**

The Offeror understands that prior to receiving a contract award from the County, the Offeror must submit a completed IRS W-9 form to provide a Federal Tax ID number, or if not available, to provide a Social Security Number (SSN).

**CERTIFICATION**

The information furnished in Paragraphs 1 through 7 and in the accompanying offer is certified to be factual and correct as of the date submitted and this certification is made under penalty of perjury under the laws of the State of California.

Name: James C. Perry

Signature: James C. Perry

Title: Pres / Sec / Treas.

Date: 12/1/16

Company/Organization: DBX, Inc.

**SUBMIT THIS FORM AS DIRECTED IN THE REQUEST FOR SOLICITATION DOCUMENTS OR WITH THE OFFER**

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder DBX, Inc, proposed subcontractor  
\_\_\_\_\_, hereby certifies that he has ☒, has not ☐, participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.



**Noncollusion Affidavit**  
(Title 23 United States Code Section 112 and  
Public Contract Code Section 7106)

To the ~~CITY~~ / COUNTY of San Diego  
*DEPARTMENT OF PUBLIC WORKS.*

In conformance with Title 23 United States Code Section 112 and Public Contract Code 7106 the bidder declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: The above Non-collusion Affidavit is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Non-collusion Affidavit. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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**DEBARMENT AND SUSPENSION CERTIFICATION****TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29**

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

n/a

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Certification.

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**NONLOBBYING CERTIFICATION  
FOR FEDERAL-AID CONTRACTS**

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

## DISCLOSURE OF LOBBYING ACTIVITIES

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

**1. Type of Federal Action:**  
☐ a. contract  
☐ b. grant  
☐ c. cooperative agreement  
☐ d. loan  
☐ e. loan guarantee  
☐ f. loan insurance

**2. Status of Federal Action:**  
☐ a. bid/offer/application  
☐ b. initial award  
☐ c. post-award

**3. Report Type:**  
☐ a. initial  
☐ b. material change  
 For Material Change Only:  
 year \_\_\_\_\_ quarter \_\_\_\_\_  
 date of last report \_\_\_\_\_

**4. Name and Address of Reporting Entity**  
☐ Prime ☐ Subawardee  
 Tier \_\_\_\_\_, if known

**5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:**

**6. Federal Department/Agency:** Congressional District, if known \_\_\_\_\_

**7. Federal Program Name/Description:** Congressional District, if known \_\_\_\_\_

**8. Federal Action Number, if known:** CFDA Number, if applicable \_\_\_\_\_

**9. Award Amount, if known:**

**10. a. Name and Address of Lobby Entity**  
 (If individual, last name, first name, MI)

**b. Individuals Performing Services** (including address if different from No. 10a)  
 (last name, first name, MI)

(attach Continuation Sheet(s) if necessary)

**11. Amount of Payment (check all that apply)**  
 \$ \_\_\_\_\_ ☐ actual ☐ planned

**12. Form of Payment (check all that apply):**  
☐ a. cash  
☐ b. in-kind; specify: nature \_\_\_\_\_  
 value \_\_\_\_\_

**13. Type of Payment (check all that apply)**  
☐ a. retainer  
☐ b. one-time fee  
☐ c. commission  
☐ d. contingent fee  
☐ e. deferred  
☐ f. other, specify \_\_\_\_\_

**14. Brief Description of Services Performed or to be performed and Date(s) of Service, including officer(s), employee(s), or member(s) contacted, for Payment Indicated in Item 11:**

(attach Continuation Sheet(s) if necessary)

**15. Continuation Sheet(s) attached:** Yes ☐ No ☐

**16. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.**

Signature: James C. Perry  
 Print Name: James C. Perry  
 Title: Pres / Sec / Treas  
 Telephone No.: 9512969909 Date: 9/21/16

Authorized for Local Reproduction  
 Standard Form LLL

Federal Use Only:

Standard Form LLL Rev. 09-12-97

### INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant. or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

SF-LLL-Instructions Rev. 06-04-90«ENDIF»

## EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. **Photocopy this form for additional firms.**

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name Payco Specialties	Phone 619 422-9204	<input type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	Traffic Control (p) remove striping/markings paint stripe preform thermo markings Pavement mkr, signs	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.)
Address 120 N. Second Ave	Fax 619 427-1620			
City State ZIP Chula Vista, CA 91910				
Name Koch-Armstrong	Phone 619 561-2005	<input type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	Traffic control (p) clearing + grubbing, minor concrete curb ramp, curb + gutter, sidewalk Driveway, place AC, AC type B Agg Base, Place AC	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.)
Address PO Box 1190	Fax 619 561-0317			
City State ZIP Lakeside, CA 92040				
Name MJ Directional	Phone 909 322-8445	<input type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	Directional Drilling	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.)
Address PO Box 1126	Fax 909 458-9208			
City State ZIP Ontario, CA 91762				
Name	Phone	<input type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million		<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.)
Address	Fax			
City State ZIP				

Distribution: 1) Original - Local Agency File

### **DESIGNATION OF SUBCONTRACTORS**

Set forth below is the full name and the location of the place of business of each Subcontractor whom the Bidder proposes to subcontract portions of the work in excess of one-half of one per cent of the total bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, and the portion of the work which will be done by each Subcontractor for each subcontract.

The Bidder's attention is directed to the provision titled "Subcontracting" of the Special Provisions contained in these Contract Documents.

NOTE: The Bidder understands that if the Bidder fails to specify a Subcontractor for any portion of the work to be performed under the contract in excess of one-half of one percent of the bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the bid or \$10,000, whichever is greater, the Bidder shall be deemed to have agreed to perform such portion, and that the Bidder shall not be permitted to sublet or subcontract that portion of the work except in cases of public emergency or necessity, and then only after a finding, reduced to writing as a public record of the Awarding Authority, setting forth the facts constituting the emergency or necessity in accordance with the provision of the Subletting and Subcontracting Fair Practices Act (Section 4100 et seq. of the California Public Contract Code).

Unless changed in the Special Provisions, at least 50 percent of the original contract price shall be performed by the Contractor's own organization.

The "Business Name and Address", the "Portion of Work to be Contracted" and the "License No." that will be done by each subcontractor are required at the time of bid submittal. An inadvertent error in listing the California contractor license number must be corrected **by the prime contractor within 24 hours after bid opening** by email to the Procurement Contracting Officer listed as contact for this RFB, provided the corrected contractor's license number corresponds to the submitted name and location for that subcontractor, or else the bid may be determined non-responsive. The remainder of the information shall be submitted **by all bidders within two business days of bid opening** by e-mail to said Procurement Contracting Officer.

**If no Subcontractors are to be employed on the project, enter the word "NONE".**

Name of Bidder DBX, Inc.

**DESIGNATION OF SUBCONTRACTORS**

PORTION OF WORK TO BE SUBCONTRACTED		BUSINESS NAME AND ADDRESS [Street, City, State, Zip]	CSLB LICENSE NO.	PERCENTAGE OF TOTAL CONTRACT	DIR REGISTRATION NO.
BID SCHED & ITEM NO.	DESCRIPTION OF WORK (Indicate if work includes only a portion of the bid item)				
5, 6p, 7, 8, 9, 10, 17, 18, 19, 20	clearing + grubbing partial traffic control, curb ramp, sidewalk, driveway, Place A/C Pavement A/C concrete, Agg Base, Place A/C	Koch-Armstrong General Eng. PO Box 1190 Watkinside, CA 92040	194819	9%	1000004220
6p, 11, 12, 13, 14, 15	Traffic control - (partial) - remove striping + marking, paint stripe, preform thermo markings	Payco Specialties 120 N. Second Ave Chula Vista, CA 91910	298637	5%	1000003515
	Print marker roadside signs				
29(p)	Directional Drilling	MJ Directional PO Box 1126 Ontario, CA 91762	790871	5%	1000035774

TOTAL % SUBCONTRACTED \_\_\_\_\_

Photocopy this form for additional subcontractors.

Name of Bidder DBX, Inc.



**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
DBX Inc.				1000004242				dbxinc@hotmail.com						
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Koch-Armstrong General Engineering				1000004220										
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input checked="" type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input checked="" type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Payco Specialties, Inc				1000003515										
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									

Photocopy this form for additional subcontractors.

Name of Bidder DBX, Inc.

**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
<b>SUBCONTRACTOR INFORMATION</b>														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
mJ Directional				100035774										
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
<b>SUBCONTRACTOR INFORMATION</b>														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									

**Photocopy this form for additional subcontractors.**

Name of Bidder DBX, Inc

7/16

2-14b

PART 2/FHWA

## EXHIBIT 15-G CONSTRUCTION CONTRACT DBE COMMITMENT

1. Local Agency: County of San Diego 2. Contract DBE Goal: 100%  
 3. Project Description: traffic signal installation + interconnect  
 4. Project Location: winter gardens Blvd. + winter gardens Dr.  
 5. Bidder's Name: DBX, Inc. 6. Prime Certified DBE: ☐ 7. Bid Amount: 896,103.00  
 8. Total Dollar Amount for ALL Subcontractors: 161,340.00 9. Total Number of ALL Subcontractors: 3

10. Bid Item Number	11. Description of Work, Service, or Materials Supplied	12. DBE Certification Number	13. DBE Contact Information (Must be certified on the date bids are opened)	14. DBE Dollar Amount	
6p, 11, 12,	partial traffic control, remove striping + marking	102	payco specialties 120 N. Second Ave	43890.00	
13, 14, 15	paint stripe, preformed thermo markings,		Chula Vista, CA 91910 619-422-9204		
	pvt marker, roadside sign				
25p 26p	Furnish signals, luminaires, RIMS, EVP, controller,	820	G+C Equipment Corp. 1875 W. Redondo Beach Bl	110,284.69	
31p	RBS, poles, bolts, meter pedestal, MA reg signs, 170 controller, 336 comm. hub cabinet		Ste 102 Gardena, CA 90247 310-515-6715	66170.82	
Local Agency to Complete this Section			15. TOTAL CLAIMED DBE PARTICIPATION	110,060.82	
21. Local Agency Contract Number: _____	22. Federal-Aid Project Number: _____	23. Bid Opening Date: _____		13 %	
24. Contract Award Date: _____	<p>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirmation of each listed DBE is required.</p> <p><u>James C. Perry</u> 9/21/16          16. Preparer's Signature 17. Date  <u>James C. Perry</u> 9512969909          18. Preparer's Name 19. Phone  <u>Pres/Sec/Treas.</u>          20. Preparer's Title</p>				
Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.					
25. Local Agency Representative's Signature	26. Date	27. Local Agency Representative's Name	28. Phone	29. Local Agency Representative's Title	

DISTRIBUTION: 1. Original – Local Agency  
 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract. Include additional copy with award package.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.



**Search Returned 1 Records**

Thu Sep 22 09:43:21 PDT 2016

**Query Criteria**

Firm/DBA Name: payco

Firm Type: DBE

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<b>Firm ID</b>	102
<b>Firm/DBA Name</b>	PAYCO SPECIALTIES, INCORPORATED
<b>Address Line1</b>	120 NORTH SECOND AVE.
<b>Address Line2</b>	
<b>City</b>	CHULA VISTA
<b>State</b>	CA
<b>Zip Code1</b>	91910
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>EMail</b>	rebecca@payco.biz
<b>Contact Name</b>	REBECCA LLEWELLYN
<b>Area Code</b>	( 619 )
<b>Phone Number</b>	422-9204
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 619 )
<b>Fax Phone Number</b>	427-1620
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	02; 13; 19; 30; 33; 37;
<b>Districts</b>	07; 08; 10; 11; 12;
<b>DBE NAICS</b>	237310; 238990;

**ACDBE NAICS****Work Codes**

C1201 TRAFFIC CONTROL SYSTEM; C5601 SIGN STRUCTURE; C5620 ROADSIDE SIGN; C8201 OBJECT MARKER; C8405 THERMOPLASTIC TRAFFIC STRIPING & MARKING; C8406 PAINTED TRAFFIC STRIPING & MARKING; C8501 PAVEMENT MARKING; C9906 SANDBLASTING;  
B General Building Contractor; C32 Parking and Highway Improvement Contractor;

**Licenses****Trucks****Gender**

F

**Ethnicity**

CAUCASIAN

**Firm Type**

DBE

---

[Back To Query Form](#)



G&C EQUIPMENT CORPORATION  
1875 W. Redondo Beach Blvd, Ste 102  
Gardena, CA 90247  
Phone: (310)515-6715  
Fax: (310)515-5046

## Quote

Project	Date	Estimate #
	9/21/2016	QO-21444

Name / Address
Estimator

Ship To
Winter Gardens TS San Diego, Ca.

Terms	Valid Until	Rep
Net 30	10/21/2016	JEB

Qty	U/M	Description	Item Descrip...	Cost Code/L...	Unit Price	Total
1	ea	Traffic Signal System to Include: Qty. 1 - Signals Qty. 1 - Luminaires Qty. 1 - RSNS Qty. 1 - EVP Qty. 1 - Controller Qty. 1 - BBS Qty. 1 - Poles w/Bolts 2010 RSP July 2016 Qty. 1 - Meter Pedestal Qty. 1 - Mast Arm Reg Signs	Bid Item # 25		76,531.75	76,531.75T
5	ea	170 Coldfire Controllers Only. No cabinets, they are existing.	Bid Item # 26		3,776.03	18,880.15T
1	ea	336 Communications Hub Cabinet	Bid Item # 31		6,703.55	6,703.55T
Lead Time is 10 - 12 Weeks After Approval						
All material complies with Buy America requirements per Caltrans latest revised Standard Specifications.						
Certifications: DVBE #5092 Exp. 11-30-2016 SBE #5092 CUCP DEB #820 SDB #WA0001-0015745						
Subtotal						\$102,115.45
Sales Tax (8.0%)						\$8,169.24
Total						\$110,284.69

Signature \_\_\_\_\_

**Search Returned 1 Records**

Thu Sep 22 10:18:13 PDT 2016

**Query Criteria**

Firm ID: 820

Firm Type: DBE

---

<b>Firm ID</b>	820
<b>Firm/DBA Name</b>	G & C EQUIPMENT CORP.
<b>Address Line1</b>	1875 W. REDONDO BEACH BLVD. #207
<b>Address Line2</b>	
<b>City</b>	GARDENA
<b>State</b>	CA
<b>Zip Code1</b>	90247
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	P. O. BOX 5419
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	GARDENA
<b>Mailing State</b>	CA
<b>Mailing Zip Code1</b>	90249
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>EMail</b>	gene@gandccorp.com
<b>Contact Name</b>	GENE HALE
<b>Area Code</b>	( 310 )
<b>Phone Number</b>	515-6715
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 310 )
<b>Fax Phone Number</b>	515-5046
<b>Agency Name</b>	LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)
<b>Counties</b>	00;
<b>Districts</b>	00;
<b>DBE NAICS</b>	423310; 423320; 423390; 423510; 423610; 423720; 423730; 423830; 423860; 425120; 532412; 561320;

**ACDBE NAICS**

C0600 MISC SUPPLIERS; C0639 ASPHALT SUPPLIER; C0651 CONCRETE & CEMENT SUPPLIER; C0652 REINFORCING BAR SECTION SUPPLIER; C0655 STEEL SUPPLIER; C0670 PIPE SUPPLIER; C0686 ELECTRICAL & SIGNALS SUPPLIER; C0699 TOOLS Supplier; C9999 BROKER; D3620 ELECTRICAL INDUSTRIAL APPARATUS; F5070 HARDWARE, PLUMBING & HEATING EQUIPMENT; F5080 MACHINERY, EQUIPMENT, & SUPPLIES; F5210 LUMBER & OTHER BUILDING MATERIALS; I7350 MISC EQUIPMENT RENTAL & LEASING; I7360 PERSONNEL SUPPLY SERVICES;

**Work Codes****Licenses****Trucks****Gender**

M

**Ethnicity**

BLACK

**Firm Type**

DBE

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**INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT**

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**CONTRACTOR SECTION**

- 1. Local Agency** - Enter the name of the local or regional agency that is funding the contract.
- 2. Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
- 3. Project Location** - Enter the project location as it appears on the project advertisement.
- 4. Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
- 5. Bidder's Name** - Enter the contractor's firm name.
- 6. Prime Certified DBE** - Check box if prime contractor is a certified DBE.
- 7. Bid Amount** - Enter the total contract bid dollar amount for the prime contractor.
- 8. Total Dollar Amount for ALL Subcontractors** – Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- 9. Total number of ALL subcontractors** – Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
- 10. Bid Item Number** - Enter bid item number for work, services, or materials supplied to be provided.
- 11. Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
- 12. DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
- 13. DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
- 14. DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
- 15. Total Claimed DBE Participation - \$:** Enter the total dollar amounts entered in the "DBE Dollar Amount" column. **%:** Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
- 16. Preparer's Signature** - The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
- 17. Date** - Enter the date the DBE commitment form is signed by the contractor's preparer.
- 18. Preparer's Name** - Enter the name of the person preparing and signing the contractor's DBE commitment form.
- 19. Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
- 20. Preparer's Title** - Enter the position/title of the person signing the contractor's DBE commitment form.

**LOCAL AGENCY SECTION**

- 21. Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
- 22. Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
- 23. Bid Opening Date** - Enter the date contract bids were opened.
- 24. Contract Award Date** - Enter the date the contract was executed.
- 25. Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
- 26. Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
- 27. Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
- 28. Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
- 29. Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.



**EXHIBIT 15-H DBE INFORMATION—GOOD FAITH EFFORTS****DBE INFORMATION - GOOD FAITH EFFORTS**Federal-Aid Project No. HSIPL-5957 (119) Bid Opening Date 9-22-16

The County of San Diego established a Disadvantaged Business Enterprise (DBE) goal of 10% for this project. The information provided herein shows that a good faith effort was made.

Lowest, second lowest and third lowest bidders shall submit the following information to document adequate good faith efforts. Bidders should submit the following information even if the "Local Agency Bidder DBE Commitment" form indicates that the bidder has met the DBE goal. This will protect the bidder's eligibility for award of the contract if the administering agency determines that the bidder failed to meet the goal for various reasons, e.g., a DBE firm was not certified at bid opening, or the bidder made a mathematical error.

Submittal of only the "Local Agency Bidder DBE Commitment" form may not provide sufficient documentation to demonstrate that adequate good faith efforts were made.

The following items are listed in the Section entitled "Submission of DBE Commitment" of the Special Provisions:

- A. The names and dates of each publication in which a request for DBE participation for this project was placed by the bidder (please attach copies of advertisements or proofs of publication):

<u>Publications</u>	<u>Dates of Advertisement</u>

- B. The names and dates of written notices sent to certified DBEs soliciting bids for this project and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested (please attach copies of solicitations, telephone records, fax confirmations, etc.):

<u>Names of DBEs Solicited</u>	<u>Date of Initial Solicitation</u>	<u>Follow Up Methods and Dates</u>

- C. The items of work which the bidder made available to DBE firms including, where appropriate, any breaking down of the contract work items (including those items normally performed by the bidder with its own forces) into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation was made available to DBE firms.

Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract

- D. The names, addresses and phone numbers of rejected DBE firms, the reasons for the bidder's rejection of the DBEs, the firms selected for that work (please attach copies of quotes from the firms involved), and the price difference for each DBE if the selected firm is not a DBE:

Names, addresses and phone numbers of rejected DBEs and the reasons for the bidder's rejection of the DBEs:

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Names, addresses and phone numbers of firms selected for the work above:

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- E. Efforts made to assist interested DBEs in obtaining bonding, lines of credit or insurance, and any technical assistance or information related to the plans, specifications and requirements for the work which was provided to DBEs:

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- F. Efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate:

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- G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting and using DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, Internet page download, etc.):

Name of Agency/Organization	Method/Date of Contact	Results

- H. Any additional data to support a demonstration of good faith efforts (use additional sheets if necessary):

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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

Accompanying this proposal is bidder's bond

**The names of all persons interested in the foregoing proposal as principals are as follows:**

*If bidder or other interested person is a corporation, state legal name of corporation, also names of the president, secretary, treasurer, and manager thereof; if a copartnership, state true name of firm, also names of all individual copartners composing firm; if bidder or other interested person is an individual, state first and last names in full.*

DBX, Inc.

James C. Perry

President / Secretary / Treasurer

License No. 240547, Classification(s) A+C-10, Exp. Date 7/31/17.

By my signature on this proposal I certify, under penalty of perjury under the laws of the State of California, that the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and that the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this proposal I further certify, under penalty of perjury under the laws of the State of California and the United States of America, that the Noncollusion Affidavit required by Title 23 United States Code, Section 112 and Public Contract Code Section 7106; and the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification are true and correct.

SIGN \_\_\_\_\_  
HERE \_\_\_\_\_

( DBX, Inc. \_\_\_\_\_  
( Legal Name of Bidder  
(  
( by James C. Remy \_\_\_\_\_  
( Signature  
( Pres / Sec / Treas. \_\_\_\_\_  
( Title

Business address 42024 Avenida Alvarado # A, Temecula, CA 92590

Telephone (951) 296-9909 Fax (951) 296-9978

E-Mail address dbxinc@hotmail.com

Dated September 21, 2016, at Temecula, California.

BIDDER'S BOND

BOND NO. 8-B  
PREMIUM: NIL

KNOW ALL MEN BY THESE PRESENTS:

That we DBX, INCORPORATED

THE GUARANTEE COMPANY OF NORTH AMERICA USA as Principal, and  
as Surety, are held and firmly bound unto the County of San Diego, a political subdivision of the State of California, hereinafter  
called the County, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE BID of the Principal above  
named, submitted by said Principal to the County for the work described below, for the payment of which sum in lawful money of  
the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and  
severally, firmly by these presents.

In no case shall the liability of the Surety hereunder exceed the sum of TEN PERCENT OF AMOUNT BID (10%)

THE CONDITION OF THIS OBLIGATION IS SUCH,


That whereas the Principal has submitted the above-mentioned bid to the County of San Diego for certain construction  
specifically described as follows, for which bids are to be opened on 9/22/16 for  
DATE

WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE TRAFFIC SIGNAL AND INTERCONNECT  
ORACLE NO. 1018802; RFB 7591

NOW THEREFORE, If the aforesaid principal shall not withdraw said bid within the period specified therein after the  
opening of the same, or, if no period be specified, within sixty (60) days after said opening, and shall within the period specified  
therefor, or, if no period be specified, within ten (10) days after the prescribed forms are presented to him for signature, enter into a  
written contract with the County, in the prescribed form, in accordance with the bid as accepted, and file the two bonds with the  
County, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law,  
then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the County and judgement is recovered, the Surety shall pay all costs  
incurred by the Owner in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this 8TH day of SEPTEMBER A.D. 2016.

THE GUARANTEE COMPANY OF NORTH AMERICA USA (SEAL) DBX, INCORPORATED  
(SEAL)  (SEAL) James C. Perry  
(SEAL) SPENCER FLAKE, ATTORNEY-IN-FACT (SEAL) James C. Perry, Pres./Sec./Treas  
(SEAL)

Surety Principal

ATTORNEY-IN-FACT ACKNOWLEDGEMENT OF SURETY

STATE OF

COUNTY OF

On this day of

ss

In the year 20 before  
me a notary public in and for said County and State  
personally appeared known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument as the attorney-in-fact of  
the corporation named as Surety in said instrument, and acknowledged to me that he subscribed  
the name of said corporation thereto as Surety, and his own name as attorney-in-fact.

NOTE: (a) Signature of those executing for  
Surety must be properly acknowledged. Notary Public in and for the County of  
(b) The Attorney-in-fact must attach  
a certified copy of Power of Attorney. State of California

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )  
County of Riverside )

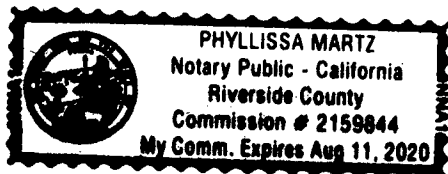
On 9/21/16 before me, Phyllissa Martz, Notary Public,  
Date Here Insert Name and Title of the Officer

personally appeared James C. Perry  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Phyllissa Martz  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: James C. Perry Signer's Name: \_\_\_\_\_

☒ Corporate Officer — Title(s): President/Secretary/Treas. ☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General ☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact ☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator ☐ Trustee ☐ Guardian or Conservator

☐ Other: \_\_\_\_\_ ☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_ Signer Is Representing: \_\_\_\_\_

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

County of Orange

On 9/8/16

Date

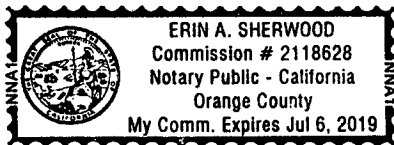
before me, Erin A. Sherwood

Insert Name of Notary exactly as it appears on the official seal

, Notary Public,

personally appeared Spencer Flake

Name(s) of Signer(s)



Place Notary Seal Above

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Signature

Erin Sherwood  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here



**The Guarantee Company of North America USA**  
Southfield, Michigan

**POWER OF ATTORNEY**

**KNOW ALL BY THESE PRESENTS:** That **THE GUARANTEE COMPANY OF NORTH AMERICA USA**, a corporation organized and existing under the laws of the State of Michigan, having its principal office in Southfield, Michigan, does hereby constitute and appoint

David L. Culbertson, Charles L. Flake, Richard A. Coon, Spencer Flake  
Culbertson Insurance Services, Inc.

its true and lawful attorney(s)-in-fact to execute, seal and deliver for and on its behalf as surety, any and all bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof, which are or may be allowed, required or permitted by law, statute, rule, regulation, contract or otherwise.

The execution of such instrument(s) in pursuance of these presents, shall be as binding upon **THE GUARANTEE COMPANY OF NORTH AMERICA USA** as fully and amply, to all intents and purposes, as if the same had been duly executed and acknowledged by its regularly elected officers at the principal office.

The Power of Attorney is executed and may be certified so, and may be revoked, pursuant to and by authority of Article IX, Section 9.03 of the By-Laws adopted by the Board of Directors of **THE GUARANTEE COMPANY OF NORTH AMERICA USA** at a meeting held on the 31<sup>st</sup> day of December, 2003. The President, or any Vice President, acting with any Secretary or Assistant Secretary, shall have power and authority:

1. To appoint Attorney(s)-in-fact, and to authorize them to execute on behalf of the Company, and attach the Seal of the Company thereto, bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof; and
2. To revoke, at any time, any such Attorney-in-fact and revoke the authority given, except as provided below
3. In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.
4. In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

Further, this Power of Attorney is signed and sealed by facsimile pursuant to resolution of the Board of Directors of the Company adopted at a meeting duly called and held on the 6th day of December 2011, of which the following is a true excerpt:

RESOLVED that the signature of any authorized officer and the seal of the Company may be affixed by facsimile to any Power of Attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, contracts of indemnity and other writings obligatory in the nature thereof, and such signature and seal when so used shall have the same force and effect as though manually affixed.



IN WITNESS WHEREOF, **THE GUARANTEE COMPANY OF NORTH AMERICA USA** has caused this instrument to be signed and its corporate seal to be affixed by its authorized officer, this 23rd day of February, 2012.

**THE GUARANTEE COMPANY OF NORTH AMERICA USA**

STATE OF MICHIGAN  
County of Oakland

Stephen C. Ruschak, President & COO

Randall Musselman, Secretary

On this 23rd day of February, 2012 before me came the individuals who executed the preceding instrument, to me personally known, and being by me duly sworn, said that each is the herein described and authorized officer of The Guarantee Company of North America USA; that the seal affixed to said instrument is the Corporate Seal of said Company; that the Corporate Seal and each signature were duly affixed by order of the Board of Directors of



Cynthia A. Takai  
Notary Public, State of Michigan  
County of Oakland  
My Commission Expires February 27, 2018  
Acting in Oakland County

IN WITNESS WHEREOF, I have hereunto set my hand at The Guarantee Company of North America USA offices the day and year above written.

I, Randall Musselman, Secretary of **THE GUARANTEE COMPANY OF NORTH AMERICA USA**, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by **THE GUARANTEE COMPANY OF NORTH AMERICA USA**, which is still in full force and effect.



IN WITNESS WHEREOF, I have thereunto set my hand and attached the seal of said Company this 8<sup>th</sup> day of September, 2016

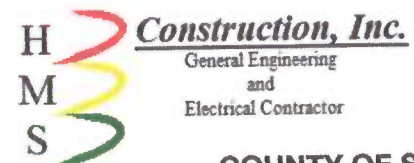
Randall Musselman, Secretary



Next  
Bid

2016/09/22 PM01:39 10s

# 2 W



2885 Scott St,  
Vista, CA 92081  
CA License #765590  
A, B, C10, & HAZ

**COUNTY OF SAN DIEGO  
DEPARTMENT OF PURCHASING &  
CONTRACTING  
5500 OVERLAND AVE, SUITE 270  
SAN DIEGO, CA 92123-1294**

**SEALED BID FOR: WINTER GARDENS  
BLVD AT WINTER GARDENS DRIVE  
TRAFFIC SIGNAL INSTALLATION &  
INTERCONNECT  
RFB 7591 – DO NOT OPEN WITH REGULAR  
MAIL**

## PART II

### DOCUMENTS TO BE EXECUTED BY BIDDER

#### **NOTE TO BIDDERS**

Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, bidder's address, the name of the project for which the bid is submitted and the appropriate State Contractor's License designation held by the bidder. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed to:

County of San Diego, Department of Purchasing and Contracting  
RFB 7591 – Winter Gardens Boulevard at Winter Gardens Drive  
Traffic Signal and Interconnect  
5560 Overland Avenue, Suite 270  
San Diego, CA, 92123-1294

**It is the responsibility of the bidder to ensure the bid is submitted in proper time.**

The following exhibits must be completed and included with the bid submittal at time of bid:

- PART 2, Exhibit 12-B  
*Bidder's List of Subcontractors (DBE and Non-DBE)*
- PART 2, Exhibit 12-H  
Certifications by BIDDERS and PROPOSED SUBCONTRACTORS

The following exhibits must be submitted by ALL BIDDERS no later than 4:00 p.m. on the 4<sup>th</sup> business day after bid opening by email to Jaclyn.Smith@sdcounty.ca.gov:

- PART 2, Exhibit 15-G  
*Local Agency Bidder DBE Commitment (Construction Contracts)*
- PART 2, Exhibit 15-H  
*DBE Information – Good Faith Efforts*

STATE OF CALIFORNIA  
COUNTY OF SAN DIEGO  
DEPARTMENT OF PUBLIC WORKS

PROPOSAL TO THE BOARD  
FOR  
WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE  
TRAFFIC SIGNAL INSTALLATION AND INTERCONNECT  
FEDERAL AID PROJECT NO. HSIPL-5957 (119)  
ORACLE PROJECT NO. 1018802

RFB NO. 7591

Name of Bidder: HMS Construction, Inc.

Business Address: 2885 Scott Street., Vista CA 92081

Place of Residence: N/A

LOCATION

The work to be performed under this Contract is located in the vicinity of Lakeside, County of San Diego, State of California.

Said work is to be performed in accordance with the Contract and the Special Provisions annexed hereto and including Addenda Nos. 01,     , and     , and also in accordance with the Standard Specifications and Standard Plans of the State of California, Department of Transportation, dated May 2006, as amended in Section 5-2 and modified in Section 5-3 of the Special Provisions; the San Diego Area Regional Standard Drawings, dated December 2015; and the State of California publication "Labor Surcharge and Equipment Rental Rates" in effect on the date the work is accomplished.

The work to be done is shown on plans entitled:

PLANS FOR CONSTRUCTION OF  
WINTER GARDENS BLVD & WINTER GARDENS DR  
TRAFFIC SIGNAL & INTERCONNECT  
In the Vicinity of: Lakeside, CA  
Net Length: 2.5 miles

approved July 25, 2016.

By signing this proposal on the signature portion hereof, the undersigned, as bidder, under penalty of perjury deposes and says: that the only persons or parties interested in this Proposal as Principals are those named herein; that the bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said proposal; that the undersigned has carefully examined the location of the proposed work, the annexed proposed form of Contract, and the Plans, Special Provisions and Specifications therein referred to; and the undersigned proposes, and agrees if this Proposal is accepted, that the undersigned will furnish the required Bonds and Contract with the Owner, in the form and copy of the Contract annexed hereto, to provide all necessary machinery, tools, apparatus and other means of construction, and to do all the work and furnish all the materials specified in the Contract, in the manner and time therein set forth, and that the undersigned will take in full payment therefor the following unit and lump sum prices, to wit:

**The County may deem non-responsive any bids that contain any mathematical errors.**

# BID SCHEDULE

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
1	Project Identification Sign	2	EA	1,689. <sup>00</sup>	3,378. <sup>00</sup>
2	Construction Site Management	LS	LS	LS	1,243. <sup>00</sup>
3	Prepare and Implement Water Pollution Control Program	LS	LS	LS	6,883. <sup>00</sup>
4	BMP Shared Maintenance	1,000	DLR	1.00	1,000.00
5	Clearing and Grubbing	LS	LS	LS	17,452. <sup>00</sup>
6	Traffic Control	LS	LS	LS	2,290. <sup>00</sup>
7	Minor Concrete (Curb Ramp)	8	CY	1,689. <sup>00</sup>	13,512. <sup>00</sup>
8	Minor Concrete (Curb & Gutter)	285	LF	35. <sup>00</sup>	9,975. <sup>00</sup>
9	Minor Concrete (Sidewalk)	8	CY	907. <sup>00</sup>	7,256. <sup>00</sup>
10	Minor Concrete (Driveway)	8	CY	335. <sup>00</sup>	2,680. <sup>00</sup>
11	Remove Traffic Striping and Marking	160	SF	7.50	1,200. <sup>00</sup>
12	Paint Traffic Stripe (2-Coat)	480	LF	4.40	2,112. <sup>00</sup>
13	Preformed Thermoplastic Pavement Marking	3,000	SF	16. <sup>00</sup>	48,000. <sup>00</sup>
14	Pavement Marker, Retroreflective	10	EA	18.80	188. <sup>00</sup>
15	Roadside Sign (One Post)	6	EA	438. <sup>00</sup>	2,628. <sup>00</sup>

Name of Bidder HMS Construction, Inc.

BID SCHEDULE (Continued)

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
16	Temporary Soil Binder	155	SY	13.80	2,139. <sup>00</sup>
17	Plane Asphalt Concrete Pavement	81	SY	46. <sup>00</sup>	3,726. <sup>00</sup>
18	Asphalt Concrete, Type B	30	TON	626. <sup>00</sup>	18,780. <sup>00</sup>
19	Aggregate Base, Class 2	130	CY	81.30	10,569. <sup>00</sup>
20	Place Asphalt Concrete (Miscellaneous Area)	34	SY	91.50	3,111. <sup>00</sup>
21	Temporary Gravel Bag	400	EA	3.45	1,380. <sup>00</sup>
22	Temporary Fiber Roll	500	LF	4.15	2,075. <sup>00</sup>
23	Temporary Concrete Washout (Portable)	LS	LS	LS	1,251. <sup>00</sup>
24	Temporary Cover	30	SY	47.50	1,425. <sup>00</sup>
25	Traffic Signal & Safety Lighting System	LS	LS	LS	200,475. <sup>00</sup>
26	Traffic Signal Controllers	4	EA	6,885. <sup>00</sup>	27,540. <sup>00</sup>
27	Pull Box	30	EA	1,123. <sup>00</sup>	33,690. <sup>00</sup>
28	Fiber Optic Cable Installation	3,000	LF	1.17	3,510. <sup>00</sup>
29	Fiber Optic Conduit and Cable Installation	12,000	LF	24.30	291,600. <sup>00</sup>
30	Communications System Equipment and Installation	LS	LS	LS	238,432. <sup>00</sup>
31	Field Orders	15,000	DLR	1.00	15,000.00
TOTAL BID					974,500. <sup>00</sup>

The County may deem non-responsive any bids that contain any mathematical errors.

Name of Bidder HMS Construction, Inc.

## BID COMPARISON AND AWARD

If this Proposal shall be accepted and the undersigned shall fail to contract as aforesaid and to give in sums as stated in the Notice to Contractors Inviting Bids, both a faithful Performance Bond, and a Payment Bond as required by Chapter 5 (commencing at Section 3225) and Chapter 7 (commencing at Section 3247), Title 15, Part 4, Division 3, of the California Civil Code, with surety satisfactory to the Owner within the time specified in Section 3.1.03 of the Standard Specifications after the undersigned has received notice of the award of the Contract from the Owner and that the Contract is ready for signature, the Owner may, at its option, determine that the bidder has abandoned the Contract, and thereupon this Proposal and the acceptance thereof shall be null and void, and the forfeiture of the security accompanying this Proposal shall operate and the same shall be the property of the Owner.

Bids are required for the entire work. The amount of the bid for comparison purposes will be the Total Bid.

The bidder shall set forth for each item of work, in clearly legible figures, a unit price and a total for the item in the respective spaces provided for this purpose. In the case of unit basis items, the amount set forth under the "Total" column shall be the extension of the unit price bid on the basis of the estimated quantity for the item. **The County may deem non-responsive any bids that contain any mathematical errors.**

The quantities listed in the Proposal are approximate only. Payment for work done will be made at the contract unit prices bid as applied to the quantities actually constructed or installed.

Award of the Contract, if awarded, will be in conformance with Section 3, "Award and Execution of Contract," of the Special Provisions.

Award of the Contract, if awarded, will be made to the lowest responsive and responsible bidder for the Total Bid, subject to the Owner's right to reject any or all bids and to waive as permitted by law, any informality or irregularity in the bids or bidding. Bids in which the prices are obviously unbalanced may be rejected.

Bidders are cautioned as follows: By signing this bid, the bidder will be deemed to have signed and agreed to the provisions of the Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246 and rules, regulations, and relevant orders and amendments of the foregoing).

### **PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT**

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has \_\_\_\_\_, has not   x   been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided. The above statement is part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

### **PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE**

In conformance with Public Contract Code Section 10162, the bidder shall complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES \_\_\_\_\_ NO   x  

If the answer is yes, explain the circumstances in the following space:

### **PUBLIC CONTRACT CODE SECTION 10232 STATEMENT**

In conformance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are a part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.



**PROHIBITED CONTRACTS CERTIFICATION**

Section 67 of the San Diego County Administrative Code provides that the County shall not contract with, and shall reject any bid or proposal submitted by, the person or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

- (a) Persons employed by the County or of public agencies for which the Board of Supervisors is the governing body;
- (b) Profit-making firms or businesses in which employees described in subsection (a) serve as officers, principals, partners, or major shareholders;
- (c) Persons who, within the immediately preceding twelve (12) months came within the provisions of subsection (a) and who (1) were employed in positions of substantial responsibility in the area of service to be performed by the contract, or (2) participated in any way in developing the contract or its service specifications; and
- (d) Profit-making firms or businesses in which the former employees described in subsection (c) serve as officers, principals, partners, or major shareholders.

With the affixing of a signature to this solicitation, offeror certifies that the above provisions of Section 67 of the San Diego County Administrative Code have been complied with, and that any exception will cause any ensuing contract to be invalid.

\*\*\*\*\*

**NOTE: THE ABOVE CERTIFICATIONS AND STATEMENTS ARE PART OF THE PROPOSAL. PROVIDING FALSE INFORMATION MAY RESULT IN CRIMINAL PROSECUTION OR ADMINISTRATIVE SANCTIONS.**

**THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS, AFFIDAVITS AND STATEMENTS WHICH ARE A PART OF THIS PROPOSAL.**

\*\*\*\*\*

**DRUG AND ALCOHOL POLICY**

It is the policy of the Board of Supervisors that in order to attain or maintain a contract with the County, each contractor shall agree that while any contractor or the contractor's employees are performing services for the County, or using County equipment, that the contractor or the contractor's employees:

- 1. Shall not in any way be impaired because of being under the influence of alcohol or a drug.
- 2. Shall not possess, consume, or be under the influence of alcohol and/or an illegal drug.
- 3. Shall not sell, offer, or provide alcohol or a drug to another person.

County of San Diego  
Department of Purchasing and Contracting  
**REPRESENTATIONS AND CERTIFICATIONS**

The following representations and certifications are to be completed, signed and returned with the offer (the term "offer" includes bids, proposals, quotes or any other submission to provide goods and/or services).

**1. BUSINESS TYPE**

☒ For-profit ☐ Non-profit ☐ Government

Attach proof of status for Non-profit.

**2. INTERLOCKING DIRECTORATE**

In accordance with Board of Supervisors Policy A-79, if Offeror is a non-profit as indicated in paragraph 1 above, Offeror is required to identify any related for-profit subcontractors in which an interlocking directorate, management or ownership relationship exists. If Offeror is a non-profit and will be subcontracting with a related for-profit entity, Offeror must list all such entity(ies) on an attached separate sheet, and authorization must be sought from Board of Supervisors. If Offeror is a non-profit and does not submit such a list, Offeror certifies it has no and will not enter into a subcontract relationship with a related for-profit entity.

**3. BUSINESS REPRESENTATION**

Offeror represents as a part of this offer the following information regarding the ownership, operation, and control of its business:

**3.1.** Are you a local business with a physical address within the County of San Diego? ☒ Yes ☐ No

**3.2.** Are you certified by the State of California as a:  
☐ Disabled Veteran Business Enterprise (DVBE)  
Certification #: \_\_\_\_\_

☐ Small Business Enterprise (SBE)  
Certification #: \_\_\_\_\_

**3.3.** Are you certified by the U.S. Dept Of Veterans' Affairs as:  
☐ Veteran Owned Small Business (VOSB)  
Certification # \_\_\_\_\_  
☐ Service Disabled Veteran Owned Small Business (SDVOSB)  
Certification # \_\_\_\_\_

**3.4.** Estimated percentage of work in this offer to be performed or fulfilled locally (within the geographic boundaries of the County of San Diego): 100 %

**4. DEBARMENT, SUSPENSION AND RELATED MATTERS**

**4.1.** Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

**4.1.1.** Are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.

**4.1.2.** Have within a three (3) year period preceding this agreement been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

**4.2.** Except as allowed for in Section 4.2.4, Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

**4.2.1** Are presently indicted for or otherwise criminally or civilly charged by a government entity (federal, state, or local) with the commission of any of the offenses enumerated in paragraph 4.1.2 of this certification;

**4.2.2** Have within a three (3) year period preceding this agreement had one or more public transactions (federal, state or local) terminated for cause or default;

**4.2.3** Are presently the target or subject of any investigation, accusation or charges by any federal, state or local law enforcement, licensing or certification body.

**4.2.4** If Offeror is unable to certify any of the facts set forth in Sections 4.2.1, 4.2.2 or 4.2.3, it certifies that it has listed on a separate sheet(s) attached to this Representations and Certifications each fact that it cannot certify and the reason it cannot do so. That information must include the specific relevant facts (date(s), contract(s) and individual(s) involved, status of action(s), and any other relevant information) that prevent it from making the requested certifications. The County reserves the right to disqualify an Offeror based upon information disclosed.

**4.3** Offeror has a continuing duty to disclose information until contract award/execution and shall report in writing to the County Department of Purchasing and Contracting within five business days of knowing or have any reason to know any change in status as certified in the preceding paragraphs 4.1 and 4.2.

**4.4** If Offeror or any of its subcontractors, agents or consultants, have previously contracted with the County to perform related work on this project (e.g. preparing components of the statement of work or plans and specifications for this project), Offeror shall identify those previous agreement(s) and submit that list along with the proposal. Other than as may be submitted on said list, Offeror certifies to the best of its knowledge that it and its proposed subcontractors, agents and consultants have not previously contracted with the County to perform work on or related to this project.

**5. CURRENT COST OR PRICING**

Offeror certifies to the best of its knowledge that cost and/or pricing data submitted with this offer, or specifically identified by reference if actual submission of the data is impracticable, are accurate, complete, and current as of the date signed below.

**6. INDEPENDENT PRICING**

Offeror certifies that in relation to this procurement:

**6.1.** The prices in this offer have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with other offerors, with any competitors, or with any County employee(s) or consultant(s) involved in this or related procurements;

**6.2.** Unless otherwise required by law, the prices that have been quoted in this offer have not been knowingly disclosed by the Offeror and will not knowingly be disclosed by the Offeror prior to opening, in the case of a bid, or prior to award, in the case of a proposal, directly or indirectly to any other Offeror or to any competitor; and

**6.3.** No attempt has been made or will be made by the Offeror to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.

**7. TAX INFORMATION**

The Offeror understands that prior to receiving a contract award from the County, the Offeror must submit a completed IRS W-9 form to provide a Federal Tax ID number, or if not available, to provide a Social Security Number (SSN).

**CERTIFICATION**

The information furnished in Paragraphs 1 through 7 and in the accompanying offer is certified to be factual and correct as of the date submitted and this certification is made under penalty of perjury under the laws of the State of California.

Name: Carla Sims

Signature: 

Title: Assistant Secretary

Date: 9/22/16

Company/Organization: HMS Construction, Inc.

**SUBMIT THIS FORM AS DIRECTED IN THE REQUEST FOR SOLICITATION DOCUMENTS OR WITH THE OFFER**

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder HMS Construction, Inc., proposed subcontractor PAL General Engineering, hereby certifies that he has x, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder HMS Construction, Inc., proposed subcontractor Rayco Specialties, Inc., hereby certifies that he has x, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder HMS Construction, Inc., proposed subcontractor Summit Erosion Control, hereby certifies that he has x, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder HMS Construction, Inc., proposed subcontractor Terra West Inc., hereby certifies that he has x, has not     , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder HMS Construction, Inc., proposed subcontractor Crosstown Electrical & Data, hereby certifies that he has X, has not     , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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**Noncollusion Affidavit**  
(Title 23 United States Code Section 112 and  
Public Contract Code Section 7106)

To the CITY / COUNTY of San Diego  
*DEPARTMENT OF PUBLIC WORKS.*

In conformance with Title 23 United States Code Section 112 and Public Contract Code 7106 the bidder declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: The above Non-collusion Affidavit is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Non-collusion Affidavit. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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**DEBARMENT AND SUSPENSION CERTIFICATION****TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29**

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Certification.

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### **NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS**

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

Standard Form LLL Rev. 09-12-97

### INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant, or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

SF-LLL-Instructions Rev. 06-04-90«ENDIF»

## EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. **Photocopy this form for additional firms.**

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name <b>PAL General Engineering</b>	Phone <b>858-638-7100</b>	<input type="checkbox"/> < \$1 million	<b>Demo, Asphalt, Concrete, Clear &amp; Grub, trends path</b>	<input type="checkbox"/> YES
Address <b>5374 Eastgate Mall</b>	Fax	<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP <b>San Diego, CA. 92121</b>	<b>858-638-7102</b>	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input checked="" type="checkbox"/> > \$15 million		
Name <b>Payco Specialties, Inc.</b>	Phone <b>619-422-9204</b>	<input type="checkbox"/> < \$1 million	<b>Signing &amp; Striping</b>	<input type="checkbox"/> YES
Address <b>120 N. Second Ave</b>	Fax	<input checked="" type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP <b>Chula Vista, Ca. 91910</b>	<b>619-422-1020</b>	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name <b>Summit Erosion Control</b>	Phone <b>858-679-2100</b>	<input type="checkbox"/> < \$1 million	<b>Temp soil binder, Temp gravel bag,</b>	<input type="checkbox"/> YES
Address <b>P.O. Box 880335</b>	Fax	<input checked="" type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP <b>San Diego, CA. 92108</b>	<b>858-679-2102</b>	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million	<b>Temp fiber roll, Temp cover</b>	
Name <b>Terra West Inc.</b>	Phone <b>619-591-1007</b>	<input checked="" type="checkbox"/> < \$1 million	<b>Prepare WPCP</b>	<input type="checkbox"/> YES
Address <b>1011 Tierra del Ray, Suite 204</b>	Fax	<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP <b>Chula Vista, CA. 91910</b>	<b>619-568-3475</b>	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million	<b>QSP services / site visits</b>	

Distribution: 1) Original - Local Agency File

## EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. **Photocopy this form for additional firms.**

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name <b>Crosstown Electrical &amp; Data Inc.</b>	Phone <b>626-813-6693</b>	<input type="checkbox"/> < \$1 million	<b>Fiberoptic Communication Installations</b>	<input type="checkbox"/> YES
Address <b>5463 Diaz St.</b>		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP <b>Irwindale, CA. 91706</b>		<input type="checkbox"/> < \$10 million		If YES list DBE #:
	Fax <b>626-813-6604</b>	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input checked="" type="checkbox"/> > \$15 million		
Name	Phone	<input type="checkbox"/> < \$1 million		<input type="checkbox"/> YES
		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
Address		<input type="checkbox"/> < \$10 million		If YES list DBE #:
	Fax	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
City State ZIP		<input type="checkbox"/> > \$15 million		
Name	Phone	<input type="checkbox"/> < \$1 million		<input type="checkbox"/> YES
		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
Address		<input type="checkbox"/> < \$10 million		If YES list DBE #:
	Fax	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
City State ZIP		<input type="checkbox"/> > \$15 million		
Name	Phone	<input type="checkbox"/> < \$1 million		<input type="checkbox"/> YES
		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
Address		<input type="checkbox"/> < \$10 million		If YES list DBE #:
	Fax	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
City State ZIP		<input type="checkbox"/> > \$15 million		

Distribution: 1) Original - Local Agency File

## DESIGNATION OF SUBCONTRACTORS

Set forth below is the full name and the location of the place of business of each Subcontractor whom the Bidder proposes to subcontract portions of the work in excess of one-half of one per cent of the total bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, and the portion of the work which will be done by each Subcontractor for each subcontract.

The Bidder's attention is directed to the provision titled "Subcontracting" of the Special Provisions contained in these Contract Documents.

NOTE: The Bidder understands that if the Bidder fails to specify a Subcontractor for any portion of the work to be performed under the contract in excess of one-half of one percent of the bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the bid or \$10,000, whichever is greater, the Bidder shall be deemed to have agreed to perform such portion, and that the Bidder shall not be permitted to sublet or subcontract that portion of the work except in cases of public emergency or necessity, and then only after a finding, reduced to writing as a public record of the Awarding Authority, setting forth the facts constituting the emergency or necessity in accordance with the provision of the Subletting and Subcontracting Fair Practices Act (Section 4100 et seq. of the California Public Contract Code).

Unless changed in the Special Provisions, at least 50 percent of the original contract price shall be performed by the Contractor's own organization.

The "Business Name and Address", the "Portion of Work to be Contracted" and the "License No." that will be done by each subcontractor are required at the time of bid submittal. An inadvertent error in listing the California contractor license number must be corrected **by the prime contractor within 24 hours after bid opening** by email to the Procurement Contracting Officer listed as contact for this RFB, provided the corrected contractor's license number corresponds to the submitted name and location for that subcontractor, or else the bid may be determined non-responsive. The remainder of the information shall be submitted **by all bidders within two business days of bid opening** by e-mail to said Procurement Contracting Officer.

**If no Subcontractors are to be employed on the project, enter the word "NONE".**

Name of Bidder HMS Construction, Inc.

**DESIGNATION OF SUBCONTRACTORS**

PORTION OF WORK TO BE SUBCONTRACTED		BUSINESS NAME AND ADDRESS [Street, City, State, Zip]	CSLB LICENSE NO.	PERCENTAGE OF TOTAL CONTRACT	DIR REGISTRATION NO.
BID SCHED & ITEM NO.	DESCRIPTION OF WORK (Indicate if work includes only a portion of the bid item)				
5,7-10, 17-20	Clear & Grub, Asphalt, Concrete, demo, patch	RAL General Eng. 5374 Eastgate Mall San Diego, CA. 92121	9116931	7.13 %	1000042280 <del>10000042280</del> AM
6,11-15	Signing & Striping	Payco Specialties Inc. 120 North Second St. Chula Vista, CA. 91910	298637	4.5 %	1000003515
10,21,22,24	Temp soil binder install Temp gravel bag install Temp fiber roll, Temp over	Summit Erosion Control PO BOX 880335 San Diego, CA. 92168	968404	0.5 %	1000000425
3	Prepare WPCP ASP services on site	Terra West Inc. 1001 Tierra Del Ray Suite 204 Chula Vista, CA. 91910		0.56%	1000007319
30 (portion)	Communication System Equipment and Install (partial)	Crosstown Electrical & Data Inc. 5403 Diaz St. Irwindale, CA. 91706	756309	18.71 %	1000000155

TOTAL % SUBCONTRACTED 31.4 %

Photocopy this form for additional subcontractors.

Name of Bidder HMS Construction, Inc.



**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
HMS Construction Inc.				1000000 923				robert@hmsconco.com						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Payco Specialties Inc.				1000003515				bil@payco.biz						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
PAL General Engineering Inc.				1000042280				jbillburg@palsd.com						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input checked="" type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			<input type="checkbox"/>	DRIVER - MIXER TRUCKS									

Photocopy this form for additional subcontractors.

Name of Bidder HMS Construction, Inc.

**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

~~PRIME CONTRACTOR~~ **Subcontractor**

BUSINESS NAME (PRIME)	DIR REGISTRATION NO.	EMAIL ADDRESS (Point of Contact for <u>this</u> Project)
<b>Summit Erosion Control</b>	<b>1000000425</b>	<b>info@summiterosion.com</b>

**CLASSIFICATIONS TO BE USED FOR THIS PROJECT:**

<input type="checkbox"/> ASBESTOS	<input type="checkbox"/> BOILERMAKER	<input type="checkbox"/> BRICKLAYERS	<input type="checkbox"/> CARPENTERS
<input type="checkbox"/> CARPET/LINOLEUM	<input type="checkbox"/> CEMENT MASONS	<input type="checkbox"/> DRYWALL FINISHER	<input type="checkbox"/> DRYWALL/LATHERS
<input type="checkbox"/> ELECTRICIANS	<input type="checkbox"/> ELEVATOR MECHANIC	<input type="checkbox"/> GLAZIERS	<input type="checkbox"/> IRON WORKERS
<input checked="" type="checkbox"/> LABORERS	<input type="checkbox"/> MILLWRIGHTS	<input type="checkbox"/> OPERATING ENG	<input type="checkbox"/> PAINTERS
<input type="checkbox"/> PILE DRIVERS	<input type="checkbox"/> PIPE TRADES	<input type="checkbox"/> PLASTERS	<input type="checkbox"/> ROOFERS
<input type="checkbox"/> SHEET METAL	<input type="checkbox"/> SOUND/COMM	<input type="checkbox"/> SURVEYORS	<input type="checkbox"/> TEAMSTER
<input type="checkbox"/> TILE WORKERS	<input type="checkbox"/> DRIVER - MIXER TRUCKS		

**SUBCONTRACTOR INFORMATION**

BUSINESS NAME (SUB)	DIR REGISTRATION NO.	EMAIL ADDRESS (Point of Contact for <u>this</u> Project)
<b>Terra West Inc.</b>	<b>1000007319</b>	<b>roberto@terrawestinc.com</b>

**CLASSIFICATIONS TO BE USED FOR THIS PROJECT:**

<input type="checkbox"/> ASBESTOS	<input type="checkbox"/> BOILERMAKER	<input type="checkbox"/> BRICKLAYERS	<input type="checkbox"/> CARPENTERS
<input type="checkbox"/> CARPET/LINOLEUM	<input type="checkbox"/> CEMENT MASONS	<input type="checkbox"/> DRYWALL FINISHER	<input type="checkbox"/> DRYWALL/LATHERS
<input type="checkbox"/> ELECTRICIANS	<input type="checkbox"/> ELEVATOR MECHANIC	<input type="checkbox"/> GLAZIERS	<input type="checkbox"/> IRON WORKERS
<input type="checkbox"/> LABORERS	<input type="checkbox"/> MILLWRIGHTS	<input type="checkbox"/> OPERATING ENG	<input type="checkbox"/> PAINTERS
<input type="checkbox"/> PILE DRIVERS	<input type="checkbox"/> PIPE TRADES	<input type="checkbox"/> PLASTERS	<input type="checkbox"/> ROOFERS
<input type="checkbox"/> SHEET METAL	<input type="checkbox"/> SOUND/COMM	<input type="checkbox"/> SURVEYORS	<input type="checkbox"/> TEAMSTER
<input type="checkbox"/> TILE WORKERS	<input type="checkbox"/> DRIVER - MIXER TRUCKS	<input checked="" type="checkbox"/> Professional Services	

**SUBCONTRACTOR INFORMATION**

BUSINESS NAME (SUB)	DIR REGISTRATION NO.	EMAIL ADDRESS (Point of Contact for <u>this</u> Project)
<b>Crosstown Electrical &amp; Data Inc.</b>	<b>1000000155</b>	<b>aheermance@crosstowndata.com</b>

**CLASSIFICATIONS TO BE USED FOR THIS PROJECT:**

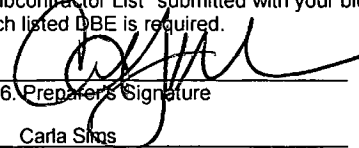
<input type="checkbox"/> ASBESTOS	<input type="checkbox"/> BOILERMAKER	<input type="checkbox"/> BRICKLAYERS	<input type="checkbox"/> CARPENTERS
<input type="checkbox"/> CARPET/LINOLEUM	<input type="checkbox"/> CEMENT MASONS	<input type="checkbox"/> DRYWALL FINISHER	<input type="checkbox"/> DRYWALL/LATHERS
<input checked="" type="checkbox"/> ELECTRICIANS	<input type="checkbox"/> ELEVATOR MECHANIC	<input type="checkbox"/> GLAZIERS	<input type="checkbox"/> IRON WORKERS
<input type="checkbox"/> LABORERS	<input type="checkbox"/> MILLWRIGHTS	<input type="checkbox"/> OPERATING ENG	<input type="checkbox"/> PAINTERS
<input type="checkbox"/> PILE DRIVERS	<input type="checkbox"/> PIPE TRADES	<input type="checkbox"/> PLASTERS	<input type="checkbox"/> ROOFERS
<input type="checkbox"/> SHEET METAL	<input checked="" type="checkbox"/> SOUND/COMM	<input type="checkbox"/> SURVEYORS	<input type="checkbox"/> TEAMSTER
<input type="checkbox"/> TILE WORKERS	<input type="checkbox"/> DRIVER - MIXER TRUCKS		

**Photocopy this form for additional subcontractors.**

Name of Bidder HMS Construction, Inc.

## EXHIBIT 15-G CONSTRUCTION CONTRACT DBE COMMITMENT

1. Local Agency: County of San Diego 2. Contract DBE Goal: 10%  
 3. Project Description: Winter Gardens Blvd. @ Winter Gardens Drive Traffic Signal and Interconnect  
 4. Project Location: Winter Gardens Blvd. @ Winter Gardens Drive, County of San Diego  
 5. Bidder's Name: HMS Construction, Inc. 6. Prime Certified DBE: ☐ 7. Bid Amount: \$ 974,500.00  
 8. Total Dollar Amount for ALL Subcontractors: \$306,934.70 9. Total Number of ALL Subcontractors: 4# 5

10. Bid Item Number	11. Description of Work, Service, or Materials Supplied	12. DBE Certification Number	13. DBE Contact Information (Must be certified on the date bids are opened)	14. DBE Dollar Amount
3	Provide WQCP, Provide ASP Services for stormwater plan/implementation	36931	Terra West Inc. 1061 Tierra Del Ray, Suite 204 Chula Vista, CA. 91910 UM-591-1007	\$5,500.00
25, 26, 30	Provide traffic signal Materials & equipment	39283	And M Sales 2950 Bugskirk Ave. Ste 300 925-286-1086 Walnut Creek, CA. 94597	\$63,697.13
6, 11-15	Signing & striping (portion of Traffic Control)	102	Payco Specialties Inc. 120 N. Second Ave. Chula Vista, CA. 91910 UM-422-9204	\$43,890.00
Local Agency to Complete this Section			<b>15. TOTAL CLAIMED DBE PARTICIPATION</b> \$ 113,087.13 11.6 %	
21. Local Agency Contract Number: _____ 22. Federal-Aid Project Number: _____ 23. Bid Opening Date: _____ 24. Contract Award Date: _____  Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.				
25. Local Agency Representative's Signature _____ 26. Date _____ 27. Local Agency Representative's Name _____ 28. Phone _____ 29. Local Agency Representative's Title _____			IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirmation of each listed DBE is required.  16. Preparer's Signature _____ 9/22/16 17. Date _____ 18. Preparer's Name _____ 760-727-9808 19. Phone _____ 20. Preparer's Title _____ Assistant Secretary	

DISTRIBUTION: 1. Original – Local Agency  
 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract. Include additional copy with award package.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

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INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT

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CONTRACTOR SECTION

1. **Local Agency** - Enter the name of the local or regional agency that is funding the contract.
2. **Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
3. **Project Location** - Enter the project location as it appears on the project advertisement.
4. **Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
5. **Bidder's Name** - Enter the contractor's firm name.
6. **Prime Certified DBE** - Check box if prime contractor is a certified DBE.
7. **Bid Amount** - Enter the total contract bid dollar amount for the prime contractor.
8. **Total Dollar Amount for ALL Subcontractors** - Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
9. **Total number of ALL subcontractors** - Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
10. **Bid Item Number** - Enter bid item number for work, services, or materials supplied to be provided.
11. **Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
12. **DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
13. **DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
14. **DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
15. **Total Claimed DBE Participation** - \$: Enter the total dollar amounts entered in the "DBE Dollar Amount" column. %: Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
16. **Preparer's Signature** - The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
17. **Date** - Enter the date the DBE commitment form is signed by the contractor's preparer.
18. **Preparer's Name** - Enter the name of the person preparing and signing the contractor's DBE commitment form.
19. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
20. **Preparer's Title** - Enter the position/title of the person signing the contractor's DBE commitment form.

LOCAL AGENCY SECTION

21. **Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
22. **Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
23. **Bid Opening Date** - Enter the date contract bids were opened.
24. **Contract Award Date** - Enter the date the contract was executed.
25. **Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
26. **Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
27. **Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
28. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
29. **Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.

**EXHIBIT 15-H DBE INFORMATION —GOOD FAITH EFFORTS****DBE INFORMATION - GOOD FAITH EFFORTS**Federal-Aid Project No. HSIPL-5957 (119) Bid Opening Date 9/22/16

The County of San Diego established a Disadvantaged Business Enterprise (DBE) goal of 10% for this project. The information provided herein shows that a good faith effort was made.

Lowest, second lowest and third lowest bidders shall submit the following information to document adequate good faith efforts. Bidders should submit the following information even if the "Local Agency Bidder DBE Commitment" form indicates that the bidder has met the DBE goal. This will protect the bidder's eligibility for award of the contract if the administering agency determines that the bidder failed to meet the goal for various reasons, e.g., a DBE firm was not certified at bid opening, or the bidder made a mathematical error.

Submittal of only the "Local Agency Bidder DBE Commitment" form may not provide sufficient documentation to demonstrate that adequate good faith efforts were made.

The following items are listed in the Section entitled "Submission of DBE Commitment" of the Special Provisions:

- A. The names and dates of each publication in which a request for DBE participation for this project was placed by the bidder (please attach copies of advertisements or proofs of publication):

<u>Publications</u>	<u>Dates of Advertisement</u>
AGC Good Faith Advertising Report	9/6/16, 9/12/16
Construction Update	9/8/16, 9/15/16
Minority Bidders Bulletin	9/5/16, 9/12/16

- B. The names and dates of written notices sent to certified DBEs soliciting bids for this project and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested (please attach copies of solicitations, telephone records, fax confirmations, etc.):

<u>Names of DBEs Solicited</u>	<u>Date of Initial Solicitation</u>	<u>Follow Up Methods and Dates</u>
See Attached	9/2/16	Faxed Invitations to Bid
See Attached	9/2/16	Follow up Phonecalls to All Firms 9/19/16



# PROPOSAL FEDERAL

[illegible]

**STIPULATIONS:**

RECIPIENT IS RESPONSIBLE FOR ALL STIPULATIONS NOTED HEREON AND BELOW

- NOTES:**
- \* **RECIPIENT IS RESPONSIBLE FOR ALL STIPENDATIONS REQUIRED.**
  - \* ANY badging, security checks, railroad classes, project required safety training will be billed at T&M to contractor
  - \* A signed Purchase Order or Subcontract is required prior to any work associated with this Proposal.
  - \* This Proposal is based on an 8 hour shift per workday, any overtime (premium time) will be paid by the Prime Contractor.
  - \* This Proposal is valid for 30 days after the bid date.
  - \* If accepted, this Proposal will be Incorporated as an Exhibit to the Contract or Purchase Order.
  - \* A minimum of 15 working days schedule notice is required **AFTER ALL PAPERWORK IS COMPLETED.**
  - \* Bond cost to be paid by Prime Contractor.
  - \* Cancellation charge for any shift of work called off within 12 hours of show-up time is \$2,500.00
  - \* Industry standard insurance available up to \$6,000,000.00 LIMIT. Railroad insurance EXCLUDED
  - \* A Mobilization / Move-In is any work accomplished through consecutive shifts. Unavailable work and all extra-work will be charged additional mobilizations upon completion.
  - \* Payments are net 30 days. Retention is to be paid 30 days from Payco's completion of work.

**EXCLUSIONS:**

PLANS - PERMITS - LD'S - TEMP DELIN - SWEEPING - SIGNAL MAST SIGNAGE - HAZ MAT - SURFACE REPAIRS..

**INCLUSIONS:**

TRAFFIC CONTROL FOR PAYCO WORK ..

**# of Working Days to Complete our Work:**

4

# of Move-In's Allotted:

2

Add'l Move-In's: \$ 1,000.00

1,000.00

BID AMOUNT:

\$ 43,890.00

ESTIMATOR: BILL TAYLOR

**BILL TAYLOR**

120 North Second Avenue, Chula Vista, CA 91910-1127  
Phone (619) 422-9204 / Fax (619) 427-1620



used

Prop #: 16-090  
September 21, 2016

## QUOTE

Robert Jones  
HMS Construction Inc

Terra West, Inc respectfully submits a quotation for the following project:

Owner: **County of San Diego**  
Contract: **Winter Gardens Blvd at Winter Gardens Dr Traffic Signal Installation and Interconnect**  
Location: **Lakeside**  
Bid Date: **9/22/2016**

Item No.	Item Description	Qty	Unit	Unit Price	Extension
-	Prepare Water Pollution Control Program	1	LS	\$650.00	\$650.00
-	QSP services (based on 120 working days)	24	EA	\$180.00	\$4,320.00
-	Rain Event Inspections or Additional Site Visits		EA	\$180.00	

### WPCP inclusions:

- Preparation of WPCP with 3 hard copies and electronic copy on disk.
- Additional WPCP hard copies will be billed at \$65.00 each. Request for changes to WPCP after initial acceptance by owner will be billed at the rate of \$90.00/hr with minimum 1 hr charge.

### QSP Services inclusions:

- Weekly storm water site BMP inspections.
- Coordination with onsite supervisor for any corrective actions identified during inspection.
- Recommend amendments to the WPCP.
- Informal overview of site specific WPCP and Caltrans requirements with personnel involved in the supervision, installation and maintenance of BMPs.
- WPCM/QSP services are required until final stabilization is achieved.
- Submittal of Annual Certification at end of construction

### Rain Event Inspections:

- Required to be performed a minimum of 48 hours prior to a forecasted rain event with at least 50% chance of rain, during extended rain events, and within 48 hours after a qualifying rain events (0.50" of rain or greater).
- Rain inspections may be combined with routine weekly inspections in certain cases.

### Standard Exclusions:

- Dewatering Plan.
- Hazardous Material Handling/Disposal Plan.
- Contaminated Soil Handling/Disposal Plan.

Roberto Tessada, CPESC, QSD/QSP

CALIFORNIA CERTIFIED SMALL BUSINESS #44127 • D.B.E. #CUCP 36931 • D.I.R. REGISTRATION #1000007319

Terra West, Inc • 1061 Tierra del Rey, Suite 204, Chula Vista, CA 91910 • Ph 619 591 1007 Fax 619 568 3475



- C. The items of work which the bidder made available to DBE firms including, where appropriate, any breaking down of the contract work items (including those items normally performed by the bidder with its own forces) into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation was made available to DBE firms.

Items of Work	Bidder Normally Performs Item N(Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract
striping	N	11-15	\$ 44,000	4.5%
Electrical Material Provider	N	25,24,30	\$ 110,000	11.28%
Asphalt Construction	N	17,18,19,20	\$ 29,000	2.97%
Concrete Construction	N	7,8,9,10	\$ 25,000	2.56%
WPCP	N	3	\$ 5,500	0.05%

- D. The names, addresses and phone numbers of rejected DBE firms, the reasons for the bidder's rejection of the DBEs, the firms selected for that work (please attach copies of quotes from the firms involved), and the price difference for each DBE if the selected firm is not a DBE:

Names, addresses and phone numbers of rejected DBEs and the reasons for the bidder's rejection of the DBEs:

NONE

Names, addresses and phone numbers of firms selected for the work above:

Terra West Inc.	Payco Specialties Inc.	A and M Sales
1001 Tierra Del Ray Suite 204	120 W. Second Ave.	2930 Buskirk Ave. Suite 300
Chula Vista, CA. 91910	Chula Vista, CA. 91910	Walnut Creek, CA. 94597
619-591-1007	619-422-9204	925-286-1086

- E. Efforts made to assist interested DBEs in obtaining bonding, lines of credit or insurance, and any technical assistance or information related to the plans, specifications and requirements for the work which was provided to DBEs:

Please see attached Letter to Assistance Agencies which state "HMS Construction, Inc. can provide assistance in obtaining necessary equipment, supplies/materials, lines of credit, technical assistance or information related to the plans, bonds, and/or insurance." "Plans and specs are available at our office at 2885 Scott Street, Vista CA 92081 at no cost to interested firms."

Please see attached Invitations to Bid which state "Plans, Specifications, and Project Requirements may be viewed at our office located at 2885 Scott Street, Vista CA 92081, Monday through Friday from 7:30 AM to 4:00 PM. HMS Construction, Inc. is an Equal Opportunity Contractor and will attempt to assist disadvantaged businesses in obtaining Bonding, Equipment, Insurance, and Lines of Credit."

- F. Efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate:

Please see attached Letters to Assistance Agencies which state "HMS Construction, Inc. can provide assistance in obtaining necessary equipment, supplies/materials, lines of credit, technical assistance or information related to the plans, bonds, and/or insurance."

"Plans and specs are available at our office at 2885 Scott Street, Vista CA 92081 at no cost to interested firms."

Please see attached Invitations to Bid which state "Plans, Specifications, and Project Requirements may be viewed at our office located at 2885 Scott Street, Vista CA 92081, Monday through Friday from 7:30 am to 4:00 PM. HMS Construction, Inc. is an Equal Opportunity Contractor and will attempt to assist disadvantaged businesses in Bonding, Equipment, Insurance and Lines of Credit."

- G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting and using DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, Internet page download, etc.):

Name of Agency/Organization	Method/Date of Contact	Results
See Attached	Faxed 9/2/16	See Attached Fax Log
See Attached	Emailed 9/2/16	See Attached Email Log

- H. Any additional data to support a demonstration of good faith efforts (use additional sheets if necessary):

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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

FOR: WINTER GARDENS BLVD AT WINTER GARDENS DR TRAFFIC SIGNAL & INTERCONNECT; ORACLE NO. 1018802

Accompanying this proposal is Bidder's Bond

(NOTICE: Insert the words "cash (\$ Bidders Bond )," "cashiers check," "certified check," or "bidder's bond," as the case may be.) in an amount equal to at least ten percent of the total of the bid.

The names of all persons interested in the foregoing proposal as principals are as follows:

**IMPORTANT NOTICE**

*If bidder or other interested person is a corporation, state legal name of corporation, also names of the president, secretary, treasurer, and manager thereof; if a copartnership, state true name of firm, also names of all individual copartners composing firm; if bidder or other interested person is an individual, state first and last names in full.*

Michael C. High, President

Sharon High, Secretary

Mark High, Vice-President

Carla Sims, Assistant Secretary

Ian High, Vice President

Licensed in accordance with an act providing for the registration of Contractors:

License No. 765590, Classification(s) A, B, C10, HAZ, Exp. Date 7/31/17.

Warning - If an addendum or addenda have been issued by the Department and not noted in the Proposal to the Board on page 2-2 as being received by the bidder, this Proposal may be rejected.

By my signature on this proposal I certify, under penalty of perjury under the laws of the State of California, that the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and that the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this proposal I further certify, under penalty of perjury under the laws of the State of California and the United States of America, that the Noncollusion Affidavit required by Title 23 United States Code, Section 112 and Public Contract Code Section 7106; and the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification are true and correct.

**SIGN  
HERE**

HMS Construction, Inc.

Legal Name of Bidder

by 

Signature

Carla Sims, Assistant Secretary

Title

NOTE: If bidder is a corporation, the legal name of the corporation shall be set forth above together with the signature of the officer or officers authorized to sign contracts on behalf of the corporation; if bidder is a copartnership, the true name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts in behalf of the copartnership; and if bidder is an individual, his signature shall be placed above. If signature is by an agent, other than an officer of a corporation or a member of a partnership, a Power of Attorney must be submitted with the bid; otherwise, the bid may be disregarded as irregular and unauthorized.

Business address 2885 Scott Street

Telephone ( 760 ) 727-9808

Fax ( 760 ) 727-9806

E-Mail address robert@hmsconco.com

Dated September 22, 20 16, at Vista California.

BOND NO. N/A

**BIDDER'S BOND**

KNOW ALL MEN BY THESE PRESENTS:

That we HMS Construction, Inc.  
.....  
..... as Principal, and  
..... Liberty Mutual Insurance Company  
as Surety, are held and firmly bound unto the County of San Diego, a political subdivision of the State of California, hereinafter called the County, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE BID of the Principal above named, submitted by said Principal to the County for the work described below, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents.

In no case shall the liability of the Surety hereunder exceed the sum of \$97,450.<sup>00</sup>  
..... Winter Gardens Boulevard at Winter Gardens Drive Traffic Signal and Interconnect - RFB 7591

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above-mentioned bid to the County of San Diego for certain construction specifically described as follows, for which bids are to be opened on 9/22 2016 for  
DATE

**WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE TRAFFIC SIGNAL AND INTERCONNECT  
ORACLE NO. 1018802; RFB 7591**

NOW THEREFORE, if the aforesaid principal shall not withdraw said bid within the period specified therein after the opening of the same, or, if no period be specified, within sixty (60) days after said opening, and shall within the period specified therefor, or, if no period be specified, within ten (10) days after the prescribed forms are presented to him for signature, enter into a written contract with the County, in the prescribed form, in accordance with the bid as accepted, and file the two bonds with the County, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the County and judgement is recovered, the Surety shall pay all costs incurred by the Owner in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this 30th day of August A.D. 2016  
HMS Construction, Inc. (SEAL) Liberty Mutual Insurance Company  
(SEAL) [Signature] (SEAL) [Signature]  
(SEAL) Carla Sims, Assistant Secretary (SEAL) Bart Stewart, Attorney-in-Fact  
(SEAL) Surety Principal

**ATTORNEY-IN-FACT ACKNOWLEDGEMENT OF SURETY**

STATE OF ..... )  
COUNTY OF ..... ) ss \*\*\*SEE ATTACHED CERTIFICATE\*\*\*  
On this ..... day of ..... in the year 20..... before  
me ..... a notary public in and for said County and State  
personally appeared ..... known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument as the attorney-in-fact of  
the ..... the corporation named as Surety in said instrument, and acknowledged to me that he subscribed  
the name of said corporation thereto as Surety, and his own name as attorney-in-fact.

NOTE: (a) Signature of those executing for  
Surety must be properly acknowledged. Notary Public In and for the County of  
(b) The Attorney-in-fact must attach  
a certified copy of Power of Attorney. .... State of California

**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 6725603

American Fire and Casualty Company  
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Bart Stewart; Brittany Aceves; Molly Cashman

all of the city of Encinitas, state of CA each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 30th day of September, 2014.



American Fire and Casualty Company  
The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 30th day of September, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV – OFFICERS – Section 12. Power of Attorney.** Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII – Execution of Contracts – SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation –** The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization –** By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 30<sup>th</sup> day of August, 2016.



By: Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

# ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }

County of San Diego }

On 08/30/2016 before me, Brittany Aceves, Notary Public  
(Here insert name and title of the officer)

personally appeared Bart Stewart  
who proved to me on the basis of satisfactory evidence to be the person(s) whose  
name(s) (s) are subscribed to the within instrument and acknowledged to me that  
he she/they executed the same in his her/their authorized capacity(ies), and that by  
his her/their signature(s) on the instrument the person(s), or the entity upon behalf of  
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that  
the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Notary Public Signature

(Notary Public Seal)



## ADDITIONAL OPTIONAL INFORMATION

### DESCRIPTION OF THE ATTACHED DOCUMENT

(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages \_\_\_\_\_ Document Date \_\_\_\_\_

### CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)  
☐ Corporate Officer

\_\_\_\_\_  
(Title)

- ☐ Partner(s)  
☐ Attorney-in-Fact  
☐ Trustee(s)  
☐ Other \_\_\_\_\_

## INSTRUCTIONS FOR COMPLETING THIS FORM

*This form complies with current California statutes regarding notary wording and, if needed, should be completed and attached to the document. Acknowledgments from other states may be completed for documents being sent to that state so long as the wording does not require the California notary to violate California notary law.*

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ~~he~~/she/~~they~~, is /~~are~~) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
  - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
  - ❖ Indicate title or type of attached document, number of pages and date.
  - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document with a staple.

Bids  
Received



656 Front Street • El Cajon, CA 92020

County of SAN Diego  
Dept of Purchasing  
Contracting  
5500 Overland Ave #270  
San Diego CA 92123  
RFB 7591

#3

2016/09/22 PM01:58 57s



STATE OF CALIFORNIA  
COUNTY OF SAN DIEGO  
DEPARTMENT OF PUBLIC WORKS

PROPOSAL TO THE BOARD  
FOR  
WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE  
TRAFFIC SIGNAL INSTALLATION AND INTERCONNECT  
FEDERAL AID PROJECT NO. HSIPL-5957 (119)  
ORACLE PROJECT NO. 1018802

RFB NO. 7591

Name of Bidder: S.C. VALLEY ENGINEERING INC

Business Address: 656 FRONT STREET

Place of Residence: EL CAJON, CA 92020

LOCATION

The work to be performed under this Contract is located in the vicinity of Lakeside, County of San Diego, State of California.

Said work is to be performed in accordance with the Contract and the Special Provisions annexed hereto and including Addenda Nos. 1, \_\_\_\_\_, and \_\_\_\_\_, and also in accordance with the Standard Specifications and Standard Plans of the State of California, Department of Transportation, dated May 2006, as amended in Section 5-2 and modified in Section 5-3 of the Special Provisions; the San Diego Area Regional Standard Drawings, dated December 2015; and the State of California publication "Labor Surcharge and Equipment Rental Rates" in effect on the date the work is accomplished.

The work to be done is shown on plans entitled:

PLANS FOR CONSTRUCTION OF  
WINTER GARDENS BLVD & WINTER GARDENS DR  
TRAFFIC SIGNAL & INTERCONNECT  
In the Vicinity of: Lakeside, CA  
Net Length: 2.5 miles

approved July 25, 2016.

By signing this proposal on the signature portion hereof, the undersigned, as bidder, under penalty of perjury deposes and says: that the only persons or parties interested in this Proposal as Principals are those named herein; that the bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said proposal; that the undersigned has carefully examined the location of the proposed work, the annexed proposed form of Contract, and the Plans, Special Provisions and Specifications therein referred to; and the undersigned proposes, and agrees if this Proposal is accepted, that the undersigned will furnish the required Bonds and Contract with the Owner, in the form and copy of the Contract annexed hereto, to provide all necessary machinery, tools, apparatus and other means of construction, and to do all the work and furnish all the materials specified in the Contract, in the manner and time therein set forth, and that the undersigned will take in full payment therefor the following unit and lump sum prices, to wit:

**The County may deem non-responsive any bids that contain any mathematical errors.**

### BID SCHEDULE

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
1	Project Identification Sign	2	EA	1433.00	2866.00
2	Construction Site Management	LS	LS	2396.00	2396.00
3	Prepare and Implement Water Pollution Control Program	LS	LS	8856.00	8856.00
4	BMP Shared Maintenance	1,000	DLR	1.00	1,000.00
5	Clearing and Grubbing	LS	LS	16,198.00	16,198.00
6	Traffic Control	LS	LS	7776.00	7776.00
7	Minor Concrete (Curb Ramp)	8	CY	867.00	6936.00
8	Minor Concrete (Curb & Gutter)	285	LF	32.00	9120.00
9	Minor Concrete (Sidewalk)	8	CY	740.00	5920.00
10	Minor Concrete (Driveway)	8	CY	902.00	7216.00
11	Remove Traffic Striping and Marking	160	SF	6.50	1040.00
12	Paint Traffic Stripe (2-Coat)	480	LF	3.80	1824.00
13	Preformed Thermoplastic Pavement Marking	3,000	SF	13.80	41,400.00
14	Pavement Marker, Retroreflective	10	EA	16.20	162.00
15	Roadside Sign (One Post)	6	EA	380.00	2280.00

Name of Bidder S.C. VALLEY ENGINEERING INC

BID SCHEDULE (Continued)

(SharpeSoft#1018802)

ITEM NO.	DESCRIPTION	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT PRICE (IN FIGURES)	TOTAL (IN FIGURES)
16	Temporary Soil Binder	155	SY	5.80	899.00
17	Plane Asphalt Concrete Pavement	81	SY	43.00	3483.00
18	Asphalt Concrete, Type B	30	TON	266.00	7980.00
19	Aggregate Base, Class 2	130	CY	50.00	6500.00
20	Place Asphalt Concrete (Miscellaneous Area)	34	SY	68.00	2312.00
21	Temporary Gravel Bag	400	EA	6.40	2560.00
22	Temporary Fiber Roll	500	LF	2.30	1150.00
23	Temporary Concrete Washout (Portable)	LS	LS	LS 1365.00	1365.00
24	Temporary Cover	30	SY	20.00	600.00
25	Traffic Signal & Safety Lighting System	LS	LS	LS 19087.00	19087.00
26	Traffic Signal Controllers	4	EA	6610.00	26440.00
27	Pull Box	30	EA	645.00	19350.00
28	Fiber Optic Cable Installation	3,000	LF	3.25	9750.00
29	Fiber Optic Conduit and Cable Installation	12,000	LF	15.00	180,000.00
30	Communications System Equipment and Installation	LS	LS	LS 242,164.00	242,164.00
31	Field Orders	15,000	DLR	1.00	15,000.00
TOTAL BID					825,360.00

The County may deem non-responsive any bids that contain any mathematical errors.

Name of Bidder S.C. Valley Engineering Inc.

## BID COMPARISON AND AWARD

If this Proposal shall be accepted and the undersigned shall fail to contract as aforesaid and to give in sums as stated in the Notice to Contractors Inviting Bids, both a faithful Performance Bond, and a Payment Bond as required by Chapter 5 (commencing at Section 3225) and Chapter 7 (commencing at Section 3247), Title 15, Part 4, Division 3, of the California Civil Code, with surety satisfactory to the Owner within the time specified in Section 3.1.03 of the Standard Specifications after the undersigned has received notice of the award of the Contract from the Owner and that the Contract is ready for signature, the Owner may, at its option, determine that the bidder has abandoned the Contract, and thereupon this Proposal and the acceptance thereof shall be null and void, and the forfeiture of the security accompanying this Proposal shall operate and the same shall be the property of the Owner.

Bids are required for the entire work. The amount of the bid for comparison purposes will be the Total Bid.

The bidder shall set forth for each item of work, in clearly legible figures, a unit price and a total for the item in the respective spaces provided for this purpose. In the case of unit basis items, the amount set forth under the "Total" column shall be the extension of the unit price bid on the basis of the estimated quantity for the item. **The County may deem non-responsive any bids that contain any mathematical errors.**

The quantities listed in the Proposal are approximate only. Payment for work done will be made at the contract unit prices bid as applied to the quantities actually constructed or installed.

Award of the Contract, if awarded, will be in conformance with Section 3, "Award and Execution of Contract," of the Special Provisions.

Award of the Contract, if awarded, will be made to the lowest responsive and responsible bidder for the Total Bid, subject to the Owner's right to reject any or all bids and to waive as permitted by law, any informality or irregularity in the bids or bidding. Bids in which the prices are obviously unbalanced may be rejected.

Bidders are cautioned as follows: By signing this bid, the bidder will be deemed to have signed and agreed to the provisions of the Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246 and rules, regulations, and relevant orders and amendments of the foregoing).

### **PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT**

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has \_\_\_\_\_, has not   X   been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided. The above statement is part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

### **PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE**

In conformance with Public Contract Code Section 10162, the bidder shall complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES \_\_\_\_\_ NO   X  

If the answer is yes, explain the circumstances in the following space:

### **PUBLIC CONTRACT CODE SECTION 10232 STATEMENT**

In conformance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are a part of the Proposal. Signing this Proposal or the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

### **PROHIBITED CONTRACTS CERTIFICATION**

Section 67 of the San Diego County Administrative Code provides that the County shall not contract with, and shall reject any bid or proposal submitted by, the person or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

- (a) Persons employed by the County or of public agencies for which the Board of Supervisors is the governing body;
- (b) Profit-making firms or businesses in which employees described in subsection (a) serve as officers, principals, partners, or major shareholders;
- (c) Persons who, within the immediately preceding twelve (12) months came within the provisions of subsection (a) and who (1) were employed in positions of substantial responsibility in the area of service to be performed by the contract, or (2) participated in any way in developing the contract or its service specifications; and
- (d) Profit-making firms or businesses in which the former employees described in subsection (c) serve as officers, principals, partners, or major shareholders.

With the affixing of a signature to this solicitation, offeror certifies that the above provisions of Section 67 of the San Diego County Administrative Code have been complied with, and that any exception will cause any ensuing contract to be invalid.

\*\*\*\*\*  
**NOTE: THE ABOVE CERTIFICATIONS AND STATEMENTS ARE PART OF THE PROPOSAL. PROVIDING FALSE INFORMATION MAY RESULT IN CRIMINAL PROSECUTION OR ADMINISTRATIVE SANCTIONS.**

**THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS, AFFIDAVITS AND STATEMENTS WHICH ARE A PART OF THIS PROPOSAL.**

\*\*\*\*\*

### **DRUG AND ALCOHOL POLICY**

It is the policy of the Board of Supervisors that in order to attain or maintain a contract with the County, each contractor shall agree that while any contractor or the contractor's employees are performing services for the County, or using County equipment, that the contractor or the contractor's employees:

- 1. Shall not in any way be impaired because of being under the influence of alcohol or a drug.
- 2. Shall not possess, consume, or be under the influence of alcohol and/or an illegal drug.
- 3. Shall not sell, offer, or provide alcohol or a drug to another person.

County of San Diego  
Department of Purchasing and Contracting  
**REPRESENTATIONS AND CERTIFICATIONS**

The following representations and certifications are to be completed, signed and returned with the offer (the term "offer" includes bids, proposals, quotes or any other submission to provide goods and/or services).

**1. BUSINESS TYPE**

☒ For-profit ☐ Non-profit ☐ Government  
Attach proof of status for Non-profit.

**2. INTERLOCKING DIRECTORATE**

In accordance with Board of Supervisors Policy A-79, if Offeror is a non-profit as indicated in paragraph 1 above, Offeror is required to identify any related for-profit subcontractors in which an interlocking directorate, management or ownership relationship exists. If Offeror is a non-profit and will be subcontracting with a related for-profit entity, Offeror must list all such entity(ies) on an attached separate sheet, and authorization must be sought from Board of Supervisors. If Offeror is a non-profit and does not submit such a list, Offeror certifies it has no and will not enter into a subcontract relationship with a related for-profit entity.

**3. BUSINESS REPRESENTATION**

Offeror represents as a part of this offer the following information regarding the ownership, operation, and control of its business:

**3.1.** Are you a local business with a physical address within the County of San Diego? ☒ Yes ☐ No

**3.2.** Are you certified by the State of California as a:

☐ Disabled Veteran Business Enterprise (DVBE)

Certification #: \_\_\_\_\_

☐ Small Business Enterprise (SBE)

Certification #: \_\_\_\_\_

**3.3.** Are you certified by the U.S. Dept Of Veterans' Affairs as:

☐ Veteran Owned Small Business (VOSB)

Certification # \_\_\_\_\_

☐ Service Disabled Veteran Owned Small Business (SDVOSB)

Certification # \_\_\_\_\_

**3.4.** Estimated percentage of work in this offer to be performed or fulfilled locally (within the geographic boundaries of the County of San Diego): 100 %

**4. DEBARMENT, SUSPENSION AND RELATED MATTERS**

**4.1.** Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

**4.1.1.** Are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.

**4.1.2.** Have within a three (3) year period preceding this agreement been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

**4.2.** Except as allowed for in Section 4.2.4, Offeror hereby certifies to the best of its knowledge that neither it nor any of its officers:

**4.2.1** Are presently indicted for or otherwise criminally or civilly charged by a government entity (federal, state, or local) with the commission of any of the offenses enumerated in paragraph 4.1.2 of this certification;

**4.2.2** Have within a three (3) year period preceding this agreement had one or more public transactions (federal, state or local) terminated for cause or default;

**4.2.3** Are presently the target or subject of any investigation, accusation or charges by any federal, state or local law enforcement, licensing or certification body.

**4.2.4** If Offeror is unable to certify any of the facts set forth in Sections 4.2.1, 4.2.2 or 4.2.3, it certifies that it has listed on a separate sheet(s) attached to this Representations and Certifications each fact that it cannot certify and the reason it cannot do so. That information must include the specific relevant facts (date(s), contract(s) and individual(s) involved, status of action(s), and any other relevant information) that prevent it from making the requested certifications. The County reserves the right to disqualify an Offeror based upon information disclosed.

**4.3** Offeror has a continuing duty to disclose information until contract award/execution and shall report in writing to the County Department of Purchasing and Contracting within five business days of knowing or have any reason to know any change in status as certified in the preceding paragraphs 4.1 and 4.2.

**4.4** If Offeror or any of its subcontractors, agents or consultants, have previously contracted with the County to perform related work on this project (e.g. preparing components of the statement of work or plans and specifications for this project), Offeror shall identify those previous agreement(s) and submit that list along with the proposal. Other than as may be submitted on said list, Offeror certifies to the best of its knowledge that it and its proposed subcontractors, agents and consultants have not previously contracted with the County to perform work on or related to this project.

**5. CURRENT COST OR PRICING**

Offeror certifies to the best of its knowledge that cost and/or pricing data submitted with this offer, or specifically identified by reference if actual submission of the data is impracticable, are accurate, complete, and current as of the date signed below.

**6. INDEPENDENT PRICING**

Offeror certifies that in relation to this procurement:

**6.1.** The prices in this offer have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with other offerors, with any competitors, or with any County employee(s) or consultant(s) involved in this or related procurements;

**6.2.** Unless otherwise required by law, the prices that have been quoted in this offer have not been knowingly disclosed by the Offeror and will not knowingly be disclosed by the Offeror prior to opening, in the case of a bid, or prior to award, in the case of a proposal, directly or indirectly to any other Offeror or to any competitor; and

**6.3.** No attempt has been made or will be made by the Offeror to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.

**7. TAX INFORMATION**

The Offeror understands that prior to receiving a contract award from the County, the Offeror must submit a completed IRS W-9 form to provide a Federal Tax ID number, or if not available, to provide a Social Security Number (SSN).

**CERTIFICATION**

The information furnished in Paragraphs 1 through 7 and in the accompanying offer is certified to be factual and correct as of the date submitted and this certification is made under penalty of perjury under the laws of the State of California.

Name: S.C. VALLEY ENGINEER INC

Title: PRESIDENT

Company/Organization: S.C. VALLEY ENGINEERING INC

Signature: \_\_\_\_\_

Date: SEPTEMBER 22, 2016

**SUBMIT THIS FORM AS DIRECTED IN THE REQUEST FOR SOLICITATION DOCUMENTS OR WITH THE OFFER**

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering, Inc, proposed subcontractor  
\_\_\_\_\_, hereby certifies that he has X, has not \_\_\_\_\_, participated in  
a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or  
11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal  
Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on  
Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

\_\_\_\_\_



*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering, Inc, proposed subcontractor Crosstown Electrical, hereby certifies that he has X, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering Inc, proposed subcontractor Payco Specialties, Inc, hereby certifies that he has X, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering Inc, proposed subcontractor SealRight Paving Inc, hereby certifies that he has X, has not     , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering Lnc, proposed subcontractor  
T&D Services, Inc, hereby certifies that he has X, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

*(THE BIDDER'S EXECUTION ON THE SIGNATURE PORTION OF THIS PROPOSAL SHALL ALSO CONSTITUTE AN ENDORSEMENT AND EXECUTION OF THOSE CERTIFICATIONS WHICH ARE A PART OF THIS PROPOSAL)*

### EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

The bidder S.C. Valley Engineering Inc, proposed subcontractor Koch Armstrong Engineering hereby certifies that he has X, has not    , participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

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**Noncollusion Affidavit**  
(Title 23 United States Code Section 112 and  
Public Contract Code Section 7106)

To the CITY / COUNTY of COUNTY OF SAN DIEGO  
*DEPARTMENT OF PUBLIC WORKS.*

In conformance with Title 23 United States Code Section 112 and Public Contract Code 7106 the bidder declares that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

Note: The above Non-collusion Affidavit is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Non-collusion Affidavit.  
Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

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**DEBARMENT AND SUSPENSION CERTIFICATION****TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29**

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Certification.

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## NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.



## DISCLOSURE OF LOBBYING ACTIVITIES

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial <input type="checkbox"/> b. material change  <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
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<b>4. Name and Address of Reporting Entity</b>  <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known  Congressional District, if known _____	<b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known _____
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<b>6. Federal Department/Agency:</b> <u>Federal Highway Admin.</u>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable _____
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<b>8. Federal Action Number, if known:</b> <u>HSPR-9957(119)</u>	<b>9. Award Amount, if known:</b>  _____
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<b>10. a. Name and Address of Lobby Entity</b> (If individual, last name, first name, MI) <u>N/A</u>	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI) <u>N/A</u>
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(attach Continuation Sheet(s) if necessary)

<b>11. Amount of Payment (check all that apply)</b> \$ <u>0.60</u> <input type="checkbox"/> actual <input type="checkbox"/> planned	<b>13. Type of Payment (check all that apply)</b> <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> <div>             a. retainer              b. one-time fee              c. commission              d. contingent fee              e. deferred              f. other, specify _____           </div> </div>
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<b>12. Form of Payment (check all that apply):</b> <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature _____ value _____	<b>14. Brief Description of Services Performed or to be performed and Date(s) of Service, including officer(s), employee(s), or member(s) contacted, for Payment Indicated in Item 11:</b>  _____ _____ _____
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(attach Continuation Sheet(s) if necessary)

<b>15. Continuation Sheet(s) attached:</b> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>16. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>
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Signature: _____ Print Name: <u>Samuel H Wathen</u> Title: <u>President</u> Telephone No.: <u>619444-2366</u> Date: <u>9-22-14</u>	Authorized for Local Reproduction Standard Form - LLL
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### INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant, or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

SF-LLL-Instructions Rev. 06-04-90«ENDIF»

## EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. **Photocopy this form for additional firms.**

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name Koch Armstrong Engineering	Phone 619	<input type="checkbox"/> < \$1 million	Concrete & Asphalt	<input type="checkbox"/> YES
Address PO Box 1190	561-2005	<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City, State, ZIP Twinsburg OH 44095	Fax 619	<input checked="" type="checkbox"/> < \$10 million		If YES list DBE #:
	561-0317	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name T & D Services Inc	Phone 951	<input type="checkbox"/> < \$1 million	Boring	<input type="checkbox"/> YES
Address PO Box 609	304-1190	<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City, State, ZIP Murrieta CA 92564	Fax 951	<input type="checkbox"/> < \$10 million		If YES list DBE #:
	304-1260	<input checked="" type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name Sea Right Paving Inc.	Phone 619	<input type="checkbox"/> < \$1 million	Concrete & Asphalt	<input type="checkbox"/> YES
Address 9053 Olive Drive	405-7411	<input checked="" type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City, State, ZIP Spring Valley CA 91977	Fax 619	<input type="checkbox"/> < \$10 million		If YES list DBE #:
	405-7490	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name Payco Specialties Inc	Phone 619	<input type="checkbox"/> < \$1 million	Striping & Signage	<input type="checkbox"/> YES
Address 120 N. Second Ave	422-9204	<input checked="" type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City, State, ZIP Chula Vista CA 91910	Fax 619	<input type="checkbox"/> < \$10 million		If YES list DBE #:
	427-1620	<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		

Distribution: 1) Original - Local Agency File

## EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. **Photocopy this form for additional firms.**

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name CROSSTOWN ELECTRICAL	Phone 626 813-6693	<input type="checkbox"/> < \$1 million	Fiber Optics	<input type="checkbox"/> YES
Address 5463 Diaz St.	Fax 626 813-6604	<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP IRVINDALE CA 91706		<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input checked="" type="checkbox"/> > \$15 million		
Name McGrath Consulting	Phone 619 443-3811	<input type="checkbox"/> < \$1 million	WPCP	<input type="checkbox"/> YES
Address PO Box 2488	Fax 619 443-3459	<input checked="" type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP Escondido CA 92021		<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name J	Phone	<input type="checkbox"/> < \$1 million		<input type="checkbox"/> YES
Address		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP	Fax	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		
Name	Phone	<input type="checkbox"/> < \$1 million		<input type="checkbox"/> YES
Address		<input type="checkbox"/> < \$5 million		<input type="checkbox"/> NO
City State ZIP	Fax	<input type="checkbox"/> < \$10 million		If YES list DBE #:
		<input type="checkbox"/> < \$15 million		Age of Firm (Yrs.)
		<input type="checkbox"/> > \$15 million		

Distribution: 1) Original - Local Agency File

## DESIGNATION OF SUBCONTRACTORS

Set forth below is the full name and the location of the place of business of each Subcontractor whom the Bidder proposes to subcontract portions of the work in excess of one-half of one per cent of the total bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the total bid or \$10,000, whichever is greater, and the portion of the work which will be done by each Subcontractor for each subcontract.

The Bidder's attention is directed to the provision titled "Subcontracting" of the Special Provisions contained in these Contract Documents.

NOTE: The Bidder understands that if the Bidder fails to specify a Subcontractor for any portion of the work to be performed under the contract in excess of one-half of one percent of the bid, or, in the case of construction of streets or highways, including bridges, in excess of one-half of one percent of the bid or \$10,000, whichever is greater, the Bidder shall be deemed to have agreed to perform such portion, and that the Bidder shall not be permitted to sublet or subcontract that portion of the work except in cases of public emergency or necessity, and then only after a finding, reduced to writing as a public record of the Awarding Authority, setting forth the facts constituting the emergency or necessity in accordance with the provision of the Subletting and Subcontracting Fair Practices Act (Section 4100 et seq. of the California Public Contract Code).

Unless changed in the Special Provisions, at least 50 percent of the original contract price shall be performed by the Contractor's own organization.

The "Business Name and Address", the "Portion of Work to be Contracted" and the "License No." that will be done by each subcontractor are required at the time of bid submittal. An inadvertent error in listing the California contractor license number must be corrected **by the prime contractor within 24 hours after bid opening** by email to the Procurement Contracting Officer listed as contact for this RFB, provided the corrected contractor's license number corresponds to the submitted name and location for that subcontractor, or else the bid may be determined non-responsive. The remainder of the information shall be submitted **by all bidders within two business days of bid opening** by e-mail to said Procurement Contracting Officer.

**If no Subcontractors are to be employed on the project, enter the word "NONE".**

Name of Bidder S.C. VALLEY ENGINEERING INC

### DESIGNATION OF SUBCONTRACTORS

PORTION OF WORK TO BE SUBCONTRACTED		BUSINESS NAME AND ADDRESS [Street, City, State, Zip]	CSLB LICENSE NO.	PERCENTAGE OF TOTAL CONTRACT	DIR REGISTRATION NO.
BID SCHED & ITEM NO.	DESCRIPTION OF WORK (Indicate if work includes only a portion of the bid item)				
3	WPCP	McGrath Consulting P.O. Box 2488 El Caim CA 92021	n/a	1.0%	1000037165
6, 11-15	Striping & Signage	Payco Specialties 120 N. Second Ave Chula Vista 91910	298637-C-32	5.32%	1000003515
17-20	Concrete & Asphalt	Seal Right Paving 9053 Olive Dr. Spring Valley CA 91977	364113	2.23%	1000039542
25, 29	Boxing	T&D Services PO Box 609 Murreta CA 92504	900732-A	15.1%	1000033990
6-10	Concreted & Asphalt	Koch Armstrong PO Box 1190 Lakeside CA 92040	194819-A	4.1%	1000004220
30	Fiber Optics	Crosstown 5463 Diaz St. Irwindale, CA 91706	756309	22.1%	1000000155

TOTAL % SUBCONTRACTED 49.85

Photocopy this form for additional subcontractors.

Name of Bidder S.C. Valley Engineering, Inc.

**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
S C. Valley Engineering				1000020727				pat@scvalleyinc.com						
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Crosstown Electrical				1000000155				aheermance@crosstowndata.com						
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Seal Right Paving Inc				1000039542				estimating@sealrightpavinginc.com						
CLASSIFICATIONS TO BE USED FOR THIS PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input checked="" type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERERS			<input checked="" type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input checked="" type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										

Photocopy this form for additional subcontractors.

Name of Bidder S C Valley Engineering Inc.

**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
S.C. Valley Engineering, Inc				1000020727				pat@scvalleyinc.com						
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
Koch Armstrong Gen Engineering				1000004220				chris@koch-armstrong.com						
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input checked="" type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input checked="" type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input checked="" type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for this Project)</small>						
T&D Services, Inc				1000033990				tom.td@trenchless.biz						
<b>CLASSIFICATIONS TO BE USED FOR THIS PROJECT:</b>														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input checked="" type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										

Photocopy this form for additional subcontractors.

Name of Bidder S.C. Valley Engineering, Inc

7/16

2-14b

PART 2/FHWA



**DESIGNATION OF SUBCONTRACTORS  
DEPARTMENT OF INDUSTRIAL RELATIONS INFORMATION**

PRIME CONTRACTOR INFORMATION														
BUSINESS NAME (PRIME)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for <u>this</u> Project)</small>						
S.C. Valley Engineering Inc				1000020727				pot@scvalleyinc.com						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for <u>this</u> Project)</small>						
Payco Specialties Inc				1000003515				bill@payco.biz						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT:														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input checked="" type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										
SUBCONTRACTOR INFORMATION														
BUSINESS NAME (SUB)				DIR REGISTRATION NO.				EMAIL ADDRESS <small>(Point of Contact for <u>this</u> Project)</small>						
McGrath Consulting				1000037165				estimating@mcswppp.com						
CLASSIFICATIONS TO BE USED FOR <u>THIS</u> PROJECT: N/A														
<input type="checkbox"/>	ASBESTOS			<input type="checkbox"/>	BOILERMAKER			<input type="checkbox"/>	BRICKLAYERS			<input type="checkbox"/>	CARPENTERS	
<input type="checkbox"/>	CARPET/LINOLEUM			<input type="checkbox"/>	CEMENT MASONS			<input type="checkbox"/>	DRYWALL FINISHER			<input type="checkbox"/>	DRYWALL/LATHERS	
<input type="checkbox"/>	ELECTRICIANS			<input type="checkbox"/>	ELEVATOR MECHANIC			<input type="checkbox"/>	GLAZIERS			<input type="checkbox"/>	IRON WORKERS	
<input type="checkbox"/>	LABORERS			<input type="checkbox"/>	MILLWRIGHTS			<input type="checkbox"/>	OPERATING ENG			<input type="checkbox"/>	PAINTERS	
<input type="checkbox"/>	PILE DRIVERS			<input type="checkbox"/>	PIPE TRADES			<input type="checkbox"/>	PLASTERS			<input type="checkbox"/>	ROOFERS	
<input type="checkbox"/>	SHEET METAL			<input type="checkbox"/>	SOUND/COMM			<input type="checkbox"/>	SURVEYORS			<input type="checkbox"/>	TEAMSTER	
<input type="checkbox"/>	TILE WORKERS			DRIVER - MIXER TRUCKS										

Photocopy this form for additional subcontractors.

Name of Bidder S.C. Valley Engineering Inc

## EXHIBIT 15-G CONSTRUCTION CONTRACT DBE COMMITMENT

1. Local Agency: COUNTY OF SAN DIEGO 2. Contract DBE Goal: 10%  
 3. Project Description: WINTER GARDENT BLVD AT WINTER GARDEN DR TRAFFIC SIGNAL INSTALL  
 4. Project Location: WINTER GARDEN BLVD AT WINTER GARDEN DR, SAN DIEGO  
 5. Bidder's Name: SAN DIEGO 6. Prime Certified DBE: ☒ 7. Bid Amount: 825,360.00  
 8. Total Dollar Amount for ALL Subcontractors: 410,698.09 9. Total Number of ALL Subcontractors: 6

10. Bid Item Number	11. Description of Work, Service, or Materials Supplied	12. DBE Certification Number	13. DBE Contact Information (Must be certified on the date bids are opened)	14. DBE Dollar Amount
17-20	CONCRETE AND ASPHALT	42984	JOHN WHITELEY / 619-465-7411	18408.09
6 & 11-15	STIPING AND SIGNS	102	BILL TAYLOR / 619-422-9204	43890.00
<b>Local Agency to Complete this Section</b>			<b>15. TOTAL CLAIMED DBE PARTICIPATION</b>	<b>\$ 62298.09</b>
21. Local Agency Contract Number: _____	22. Federal-Aid Project Number: _____	23. Bid Opening Date: _____		<b>7.6 %</b>
24. Contract Award Date: _____	<p>Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.</p> <p>25. Local Agency Representative's Signature _____ 26. Date _____</p> <p>27. Local Agency Representative's Name _____ 28. Phone _____</p> <p>29. Local Agency Representative's Title _____</p>			
			<p>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable with the names and items of the work in the "Subcontractor List" submitted with your bid. Written confirmation of each listed DBE is required.</p> <p>16. Preparer's Signature _____ 09/22/206            SAMUEL H WATHEN            18. Preparer's Name _____ 619-444-2366            PRESIDENT            20. Preparer's Title _____</p>	

DISTRIBUTION: 1. Original – Local Agency  
 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract. Include additional copy with award package.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

[Back To Query Form](#)**Search Returned 1 Records**

Thu Sep 22 13:11:02 PDT 2016

**Query Criteria**

Firm/DBA Name: payco

Firm Type: DBE

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<b>Firm ID</b>	102
<b>Firm/DBA Name</b>	PAYCO SPECIALTIES, INCORPORATED
<b>Address Line1</b>	120 NORTH SECOND AVE.
<b>Address Line2</b>	
<b>City</b>	CHULA VISTA
<b>State</b>	CA
<b>Zip Code1</b>	91910
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	SWBE
<b>EMail</b>	rebecca@payco.biz
<b>Contact Name</b>	REBECCA LLEWELLYN
<b>Area Code</b>	( 619 )
<b>Phone Number</b>	422-9204
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 619 )
<b>Fax Phone Number</b>	427-1620
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	02; 13; 19; 30; 33; 37;
<b>Districts</b>	07; 08; 10; 11; 12;
<b>DBE NAICS</b>	237310; 238990;

**ACDBE NAICS****Work Codes**

C1201 TRAFFIC CONTROL SYSTEM; C5601 SIGN STRUCTURE; C5620 ROADSIDE SIGN; C8201 OBJECT MARKER; C8405 THERMOPLASTIC TRAFFIC STRIPING &amp; MARKING; C8406 PAINTED TRAFFIC STRIPING &amp; MARKING; C8501 PAVEMENT MARKING; C9906 SANDBLASTING;

**Licenses**

B General Building Contractor; C32 Parking and Highway Improvement Contractor;

**Trucks****Gender**

F

**Ethnicity**

CAUCASIAN

**Firm Type**

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[Back To Query Form](#)

[Back To Query Form](#)**Search Returned 1 Records**

Thu Sep 22 12:15:43 PDT 2016

**Query Criteria**

Firm/DBA Name: sealright

Firm Type: DBE

<b>Firm ID</b>	42984
<b>Firm/DBA Name</b>	SEALRIGHT PAVING INC
<b>Address Line1</b>	9053 OLIVE DRIVE
<b>Address Line2</b>	
<b>City</b>	SPRING VALLEY
<b>State</b>	CA
<b>Zip Code1</b>	91977
<b>Zip Code2</b>	
<b>Mailing Address Line1</b>	
<b>Mailing Address Line2</b>	
<b>Mailing City</b>	
<b>Mailing State</b>	
<b>Mailing Zip Code1</b>	
<b>Mailing Zip Code2</b>	
<b>Certification Type</b>	DBE
<b>EMail</b>	estimating@sealrightpavinginc.com
<b>Contact Name</b>	FRANK T. VASQUEZ
<b>Area Code</b>	( 619 )
<b>Phone Number</b>	465-7411
<b>Alt Area Code</b>	( )
<b>Alt Phone Number</b>	
<b>Fax Area Code</b>	( 619 )
<b>Fax Phone Number</b>	465-7490
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	13; 19; 30; 33; 36; 37; 56;
<b>Districts</b>	07; 08; 11; 12;
<b>DBE NAICS</b>	237310; 238990;

**ACDBE NAICS****Work Codes**

C2201 FINISHING ROADWAY; C2602 AGGREGATE BASE; C3701 SEAL COAT; C3901 ASPHALT CONCRETE;  
 C3910 PAVING ASPHALT (ASPHALT CONCRETE); C3930 PAVEMENT REINFORCING FABRIC; C3940 PLACE  
 ASPHALT CONCRETE DIKE & MISC;  
 C12 Earthwork and Paving Contractor;

**Licenses****Trucks****Gender****Ethnicity****Firm Type**

M  
 HISPANIC  
 DBE

[Back To Query Form](#)

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INSTRUCTIONS – CONSTRUCTION CONTRACT DBE COMMITMENT

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CONTRACTOR SECTION

1. **Local Agency** - Enter the name of the local or regional agency that is funding the contract.
2. **Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
3. **Project Location** - Enter the project location as it appears on the project advertisement.
4. **Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
5. **Bidder's Name** - Enter the contractor's firm name.
6. **Prime Certified DBE** - Check box if prime contractor is a certified DBE.
7. **Bid Amount** - Enter the total contract bid dollar amount for the prime contractor.
8. **Total Dollar Amount for ALL Subcontractors** - Enter the total dollar amount for all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
9. **Total number of ALL subcontractors** - Enter the total number of all subcontracted contractors. SUM = (DBEs + all Non-DBEs). Do not include the prime contractor information in this count.
10. **Bid Item Number** - Enter bid item number for work, services, or materials supplied to be provided.
11. **Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime contractor's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
12. **DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
13. **DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted contractors. Also, enter the prime contractor's name and phone number, if the prime is a DBE.
14. **DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime contractor if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
15. **Total Claimed DBE Participation - \$:** Enter the total dollar amounts entered in the "DBE Dollar Amount" column. **%:** Enter the total DBE participation claimed ("Total Claimed DBE Participation Dollars" divided by item "Bid Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
16. **Preparer's Signature** - The person completing the DBE commitment form on behalf of the contractor's firm must sign their name.
17. **Date** - Enter the date the DBE commitment form is signed by the contractor's preparer.
18. **Preparer's Name** - Enter the name of the person preparing and signing the contractor's DBE commitment form.
19. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
20. **Preparer's Title** - Enter the position/title of the person signing the contractor's DBE commitment form.

LOCAL AGENCY SECTION

21. **Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
22. **Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
23. **Bid Opening Date** - Enter the date contract bids were opened.
24. **Contract Award Date** - Enter the date the contract was executed.
25. **Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Contractor Section of this form is complete and accurate.
26. **Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
27. **Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the contractor's DBE commitment form.
28. **Phone** - Enter the area code and phone number of the person signing the contractor's DBE commitment form.
29. **Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the contractor's DBE commitment form.

FOR: WINTER GARDENS BLVD AT WINTER GARDENS DR TRAFFIC SIGNAL & INTERCONNECT; ORACLE NO. 1018802

Accompanying this proposal is BIDDER'S BOND

(NOTICE: Insert the words "cash (\$ \_\_\_\_\_)," "cashiers check," "certified check," or "bidder's bond," as the case may be.) in an amount equal to at least ten percent of the total of the bid.

The names of all persons interested in the foregoing proposal as principals are as follows:

**IMPORTANT NOTICE**

*If bidder or other interested person is a corporation, state legal name of corporation, also names of the president, secretary, treasurer, and manager thereof; if a copartnership, state true name of firm, also names of all individual copartners composing firm; if bidder or other interested person is an individual, state first and last names in full.*

S.C. VALLEY ENGINEERING INC

SAMUEL H. WATHEN - PRESIDENT, SECRETARY, TREASURER


Licensed in accordance with an act providing for the registration of Contractors:

License No. 624559, Classification(s) A & C-10, Exp. Date 05/31/2018

Warning - If an addendum or addenda have been issued by the Department and not noted in the Proposal to the Board on page 2-2 as being received by the bidder, this Proposal may be rejected.

By my signature on this proposal I certify, under penalty of perjury under the laws of the State of California, that the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and that the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this proposal I further certify, under penalty of perjury under the laws of the State of California and the United States of America, that the Noncollusion Affidavit required by Title 23 United States Code, Section 112 and Public Contract Code Section 7106; and the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification are true and correct.

SIGN  
HERE

( SAMUEL H. WATHEN  
(  
( Legal Name of Bidder  
( by   
( Signature  
(  
( PRESIDENT  
( Title

NOTE: If bidder is a corporation, the legal name of the corporation shall be set forth above together with the signature of the officer or officers authorized to sign contracts on behalf of the corporation; if bidder is a copartnership, the true name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts in behalf of the copartnership; and if bidder is an individual, his signature shall be placed above. If signature is by an agent, other than an officer of a corporation or a member of a partnership, a Power of Attorney must be submitted with the bid; otherwise, the bid may be disregarded as irregular and unauthorized.

Business address 656 FRONT STREET, EL CAJON, CA 92020

Telephone (619) 444-2366 Fax (619) 444-2333

E-Mail address sam@scvalleyinc.com

Dated SEPTEMBER 22, 20 16, at EL CAJON California.

BOND NO. BBSU

# BIDDER'S BOND

KNOW ALL MEN BY THESE PRESENTS:

That we S.C. Valley Engineering, Inc.

The Hanover Insurance Company as Principal, and  
as Surety, are held and firmly bound unto the County of San Diego, a political subdivision of the State of California, hereinafter called the County, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE BID of the Principal above named, submitted by said Principal to the County for the work described below, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents.

In no case shall the liability of the Surety hereunder exceed the sum of Ten Percent (10%) of the Total Bid Amount

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above-mentioned bid to the County of San Diego for certain construction specifically described as follows, for which bids are to be opened on 09/22/2016 for  
DATE

**WINTER GARDENS BOULEVARD AT WINTER GARDENS DRIVE TRAFFIC SIGNAL AND INTERCONNECT  
ORACLE NO. 1018802; RFB 7591**

NOW THEREFORE, if the aforesaid principal shall not withdraw said bid within the period specified therein after the opening of the same, or, if no period be specified, within sixty (60) days after said opening, and shall within the period specified therefor, or, if no period be specified, within ten (10) days after the prescribed forms are presented to him for signature, enter into a written contract with the County, in the prescribed form, in accordance with the bid as accepted, and file the two bonds with the County, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the County and judgement is recovered, the Surety shall pay all costs incurred by the Owner in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this 21 day of September A.D. 2016.

The Hanover Insurance Company  
(SEAL)

(SEAL) S.C. Valley Engineering, Inc.

(SEAL) Robert P. Dole, Attorney-in-Fact

(SEAL) [Signature]

(SEAL)

Surety

Principal

## ATTORNEY-IN-FACT ACKNOWLEDGEMENT OF SURETY

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ )

ss

**\* See attached California All-Purpose Acknowledgement**

On this \_\_\_\_\_ day of \_\_\_\_\_ in the year 20\_\_\_\_ before me \_\_\_\_\_ a notary public in and for said County and State personally appeared \_\_\_\_\_ known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument as the attorney-in-fact of the \_\_\_\_\_ the corporation named as Surety in said instrument, and acknowledged to me that he subscribed the name of said corporation thereto as Surety, and his own name as attorney-in-fact.

NOTE: (a) Signature \_\_\_\_\_ of \_\_\_\_\_ those \_\_\_\_\_ executing \_\_\_\_\_ for \_\_\_\_\_

Surety must be properly acknowledged.

Notary Public In and for the County of \_\_\_\_\_

(b) The Attorney-in-fact must attach  
a certified copy of Power of Attorney.

\_\_\_\_\_, State of California

**THE HANOVER INSURANCE COMPANY  
MASSACHUSETTS BAY INSURANCE COMPANY  
CITIZENS INSURANCE COMPANY OF AMERICA**

**POWERS OF ATTORNEY  
CERTIFIED COPY**

KNOW ALL MEN BY THESE PRESENTS: That THE HANOVER INSURANCE COMPANY and MASSACHUSETTS BAY INSURANCE COMPANY, both being corporations organized and existing under the laws of the State of New Hampshire, and CITIZENS INSURANCE COMPANY OF AMERICA, a corporation organized and existing under the laws of the State of Michigan, do hereby constitute and appoint

**Nicki Edwards, John T. Dole, Daniel P. Dole and/or Robert P. Dole**

of **Bonita, CA** and each is a true and lawful Attorney(s)-in-fact to sign, execute, seal, acknowledge and deliver for, and on its behalf, and as its act and deed any place within the United States, or, if the following line be filled in, only within the area therein designated any and all bonds, recognizances, undertakings, contracts of indemnity or other writings obligatory in the nature thereof, as follows:

**Any such obligations in the United States, not to exceed Ten Million and No/100 (\$10,000,000) in any single instance**

and said companies hereby ratify and confirm all and whatsoever said Attorney(s)-in-fact may lawfully do in the premises by virtue of these presents. These appointments are made under and by authority of the following Resolution passed by the Board of Directors of said Companies which resolutions are still in effect:

"RESOLVED, That the President or any Vice President, in conjunction with any Vice President, be and they are hereby authorized and empowered to appoint Attorneys-in-fact of the Company, in its name and as its acts, to execute and acknowledge for and on its behalf as Surety any and all bonds, recognizances, contracts of indemnity, waivers of citation and all other writings obligatory in the nature thereof, with power to attach thereto the seal of the Company. Any such writings so executed by such Attorneys-in-fact shall be as binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company in their own proper persons." (Adopted October 7, 1981 - The Hanover Insurance Company; Adopted April 14, 1982 - Massachusetts Bay Insurance Company; Adopted September 7, 2001 - Citizens Insurance Company of America)

IN WITNESS WHEREOF, THE HANOVER INSURANCE COMPANY, MASSACHUSETTS BAY INSURANCE COMPANY and CITIZENS INSURANCE COMPANY OF AMERICA have caused these presents to be sealed with their respective corporate seals, duly attested by two Vice Presidents, this **11th** day of **November 2011**.



**THE HANOVER INSURANCE COMPANY  
MASSACHUSETTS BAY INSURANCE COMPANY  
CITIZENS INSURANCE COMPANY OF AMERICA**

*Robert Thomas*  
Robert Thomas, Vice President

*Marie Fitzgerald*  
Marie Fitzgerald, Vice President

THE COMMONWEALTH OF MASSACHUSETTS )  
COUNTY OF WORCESTER ) ss.

On this **11th** day of **November 2011** before me came the above named Vice Presidents of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, to me personally known to be the individuals and officers described herein, and acknowledged that the seals affixed to the preceding instrument are the corporate seals of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, respectively, and that the said corporate seals and their signatures as officers were duly affixed and subscribed to said instrument by the authority and direction of said Corporations.



BARBARA A. GARLICK  
Notary Public  
Commonwealth of Massachusetts  
My Commission Expires Sept. 21, 2018

*Barbara A. Garlick*  
Barbara A. Garlick, Notary Public  
My Commission Expires September 21, 2018

I, the undersigned Vice President of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, hereby certify that the above and foregoing is a full, true and correct copy of the Original Power of Attorney issued by said Companies, and do hereby further certify that the said Powers of Attorney are still in force and effect.

This Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America.

"RESOLVED, That any and all Powers of Attorney and Certified Copies of such Powers of Attorney and certification in respect thereto, granted and executed by the President or any Vice President in conjunction with any Vice President of the Company, shall be binding on the Company to the same extent as if all signatures therein were manually affixed, even though one or more of any such signatures thereon may be facsimile." (Adopted October 7, 1981 - The Hanover Insurance Company; Adopted April 14, 1982 - Massachusetts Bay Insurance Company; Adopted September 7, 2001 - Citizens Insurance Company of America)

GIVEN under my hand and the seals of said Companies, at Worcester, Massachusetts, this 21 day of September 2016.

**THE HANOVER INSURANCE COMPANY  
MASSACHUSETTS BAY INSURANCE COMPANY  
CITIZENS INSURANCE COMPANY OF AMERICA**

*Glen Margosian*  
Glen Margosian, Vice President



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

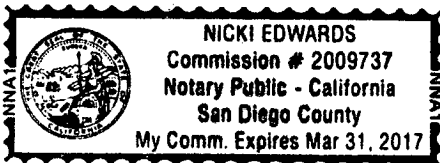
STATE OF CALIFORNIA

County of San Diego

On September 21, 2016 before me, Nicki Edwards, Notary Public,  
Date Insert Name of Notary exactly as it appears on the official seal

personally appeared Robert P. Dole

Name(s) of Signer(s)



Place Notary Seal Above

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature

Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

### Description of Attached Document

Title or Type of Document: Bid Bond

Document Date: September 21, 2016

Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Robert P. Dole

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner ☐ Limited ☐ General  
☒ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

Signer is Representing:  
The Hanover Insurance Co

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

Signer is Representing: \_\_\_\_\_

## ACKNOWLEDGMENT

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State of California  
County of SAN DIEGO )

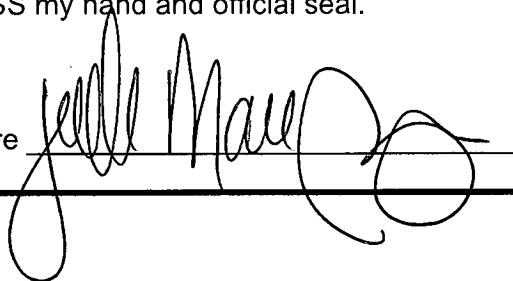
On 09/22/2016 before me, JOELLE MARIE PEPIN, NOTARY PUBLIC  
(insert name and title of the officer)

personally appeared SAMUEL H. WATHEN  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~(is)~~ are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in  
~~his~~/her/their authorized capacity(ies), and that by ~~his~~/her/their signature(s) on the instrument the  
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(Seal)

